

# Contracts for Difference Allocation Round 3

## Application Guidance

Version 2.1

May 2019



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## Disclaimer

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Capitalised terms used in this document shall have the same meanings given in the Contracts for Difference (Allocation) Regulations.

Please note that the rules outlined in the Allocation Framework for AR3 and Contracts for Difference (Allocation) Regulations take precedence over this guidance document and participants are encouraged to familiarise themselves with these rules, and if appropriate seek legal advice, before proceeding with an application.

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# Version control

The table below will record the page numbers of any new changes to the document for each version. The new changes will be highlighted in yellow throughout the guidance document.

#	Changes	Pages	Date
1.0	Original document	-	22/02/2019
2.0	Section 1.1 – Rule Changes impacting Round 3 added to Pre Application Activities. Section 2 – Completing the Application Form. This section has screenshots of the system application form, showing each section tab and the questions that will be asked. Checklists Section 2.4 – Additional Technology information for ACT, Remote Island Wind & Offshore Wind Section 6 & 7 – Simplified and standardised with AR3 changes.		07/05/2019
2.1	Section 7 – Revised and expanded.		24/05/19



# Introduction

National Grid Electricity System Operator Limited (NGESO)\* is the Delivery Body for Electricity Market Reform (EMR). Part of this role includes administering the qualification process of Applicants and the allocation of Contracts for Difference (CfD) in accordance with the CfD legislative framework and a number of Department for Business, Energy and Industrial Strategy (BEIS) documents that are specific to each CfD Allocation round.

**This document is to be used in conjunction with the Allocation Framework. This guidance is to assist you with the technical aspects of completing the application form and the subsequent processes. This guidance document outlines the various steps that are required for Applicants to apply for and be assessed for a CFD Contract. However you will still need to familiarise yourself with the [Allocation Framework](#) and eligibility requirements of the scheme when applying for a CfD, as this guidance is a supplementary document and is not an exhaustive list of the rules and eligibility requirements.**

A general overview of the CfD mechanism and Delivery Partners can be found – [here](#) on our combined Website.

**The Delivery Body's Contracts for Difference Delivery Partners are:**



Department for  
Business, Energy  
& Industrial Strategy

ofgem

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Phone: 01926 655 300

Email: [box.EMR.CfD@nationalgrid.com](mailto:box.EMR.CfD@nationalgrid.com)

# How to use this guide

This document has been designed to walk prospective applicants through the application process, in a clear, simple and transparent format.

<p>A toolbar runs along the bottom of each page allowing for quick navigation. Click on the button icons to navigate to relevant sections of this document.</p>	
<p>Click on the home icon to return to the main menu, on the arrows to move forwards or backwards a page and on the page icon to return to the contents page.</p>	
<p>We welcome all feedback so click on the feedback icon to leave any comments you may have regarding this guidance document.</p>	

For support using this guide or for general enquiries please contact:  
 Phone: 01926 655 300                      Email: [box.EMR.CfD@nationalgrid.com](mailto:box.EMR.CfD@nationalgrid.com)

# Contents

[Round 3 readiness](#)

[1.4 Minor and Necessary](#)

[3.1 Key Qualification Criteria](#)

[Essential Document links](#)

[1.5 Allocation process overview](#)

[3.2 Qualification Decision](#)

[Delivery Partner roles and responsibilities](#)

[2. Completing the Application Form](#)

[4. Reviews](#)

[EMR DB Portal system requirements](#)

[2.1 Application overview and demonstration](#)

[4.1 Review process overview](#)

[Main Menu](#)

[2.2 Application Form - System view](#)

[4.2 Review requirements](#)

[1. Pre-Application Activities](#)

[2.3 Application Form Checklist](#)

[5. Appeals](#)

[1.1 Rule changes impacting R3](#)

[2.4 Application - Additional Technology Information](#)

[5.1 Appeals process](#)

[1.2 Register your organisation incl User Management](#)

[2.5 Application next steps](#)

[5.2 Pending \(Allocation during Appeals\)](#)

[1.3 Supply Chain Plan](#)

[3.0 Qualification](#)

[6. Valuation](#)

# Contents

[6.1 Valuation and allocation overview](#)

[7.2 a Sealed bid validation rules](#)

[8.2 Delays to Allocation](#)

[6.2 Valuation formula](#)

[7.2\(b\) Phased offshore wind validation rules](#)

[9. Notification](#)

[6.3 Valuation - process overview](#)

[7.2\(c\) Example bids \(validation - general\)](#)

[9.1 Notification overview](#)

[6.4 Allocation scenarios](#)

[7.3 Creating sealed bids \(1 of 5\)](#)

[Contact details and feedback](#)

[6.5 Allocation - auction process overview](#)

[7.4 Sealed bid validation \(system view\)](#)

[6.6 Auction - general principles](#)

[7.5 Withdrawing from the CfD Process](#)

[7. Sealed bids](#)

[8. Allocation](#)

[7.1 Sealed bids - overview](#)

[8.1 Independent Audit](#)

# Round 3 readiness



We are aspiring to make our process self-service: to deliver more effective, intuitive IT systems and processes that Applicants can use, these include:

[Guidance documents](#) - an overview of the CfD end to end process, written in plain English

[National Grid ESO Website](#) – updated content and made easier to navigate.

[Joint Partner Website](#) – BEIS, LCCC and ourselves as the Delivery Body have created a joint website, to help you understand how the organisations work in unity to deliver the end to end Contracts for Difference process. The website also hosts our joint [Frequently asked questions \(FAQ\)](#) .

**Videos** – Videos will be provided to show a practical walkthrough of process steps.

**Queries** - Our new joint partners email box, has been created where common questions can be addressed more quickly and efficiently Email: [Enquiries@CfDallocationround.uk](mailto:Enquiries@CfDallocationround.uk) or for guidance specific please email the Delivery Body: [box.EMR.CfD@nationalgrideso.com](mailto:box.EMR.CfD@nationalgrideso.com)

# Essential document links



Regulations	Key Documents
<p><a href="#">The Contracts for Difference (Allocation) Regs 2014</a></p> <p>Sets out the general rules for qualification and allocation processes</p>	<p><a href="#">CfD Allocation Round 3: Allocation Framework (May 2019)</a></p> <p>Set outs the rules by which the third Allocation Round will be undertaken and the eligibility requirements that Applicants must satisfy</p>
<p><a href="#">The Contracts for Difference (Miscellaneous Amendments) Regs 2015</a></p> <p>Parts of the 2014 legislation (above) amended in 2015</p>	<p><a href="#">CfD Allocation Round 3: Framework Notice</a></p> <p>2019 R3 version</p>
<p><a href="#">The Contracts for Difference (Miscellaneous Amendments) Regs 2016</a></p> <p>Parts of the 2014 legislation (above) amended in 2016</p>	<p><a href="#">Budget Notice R3</a></p> <p>Sets out information for the round, including the budget, Technologies within the Pot, Strike Prices, and delivery years</p>
<p><a href="#">The Contracts for Difference (Miscellaneous Amendments) Regs 2018</a></p> <p>Parts of the 2014 legislation (above) amended in 2018</p>	

# Delivery Partner roles and responsibilities



BEIS sets the policy and governance arrangements for the scheme, including issuing the Allocation Framework, issuing timelines, setting the Allocation Round Budget and Administrative Strike Prices



Ofgem is responsible for handling Application appeals



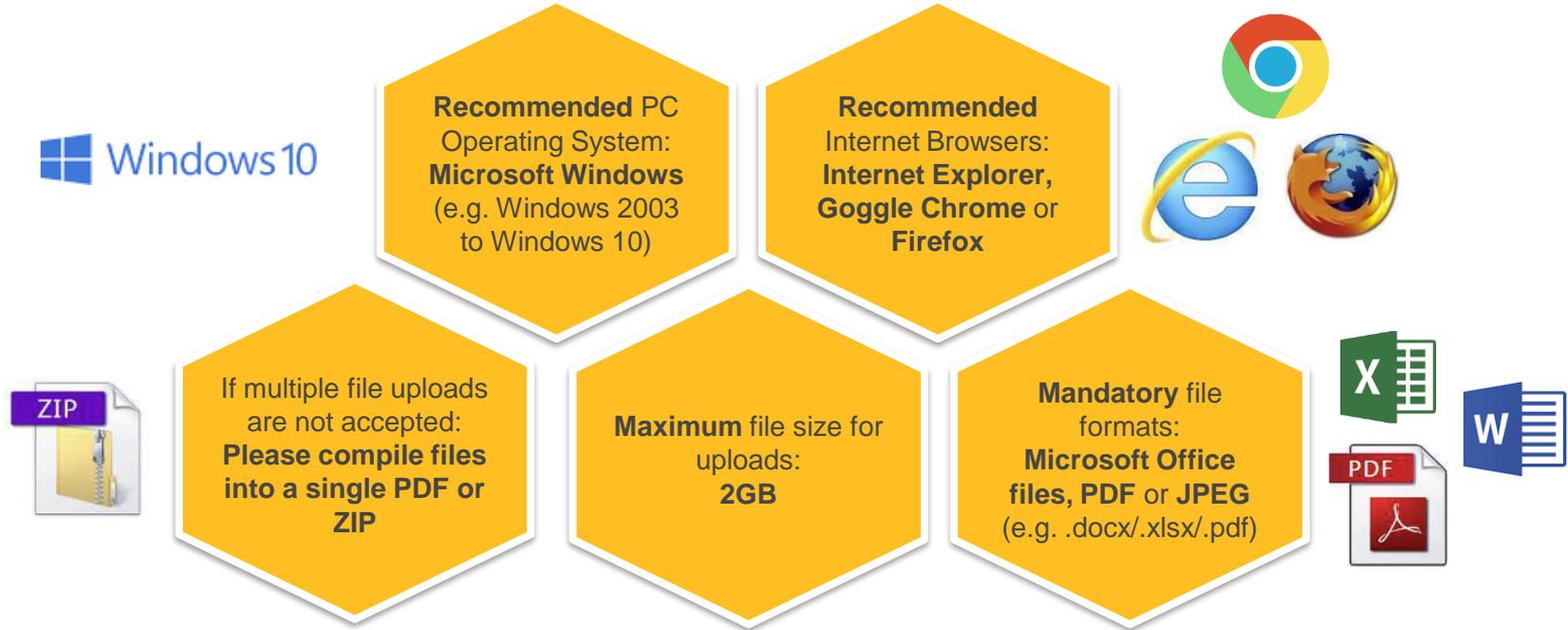
The Delivery Body is responsible for managing and operating the Application and Allocation Process. Authorising Registrations, assessing eligibility of CfD Applications, conducting non-qualification appeals and CfD Allocation (including auction)



LCCC is responsible for processing requests for minor and necessary modifications at the initial stages of the allocation round, issuing CfDs in accordance with the outcome of the Allocation process and managing them during the project delivery phase

# EMR DB Portal system requirements

Please ensure that you are familiar with these recommended requirements before completing your CfD Application in the EMR Delivery Body Portal:



# Main menu

The process flow diagram below outlines all relevant CfD activities that Applicants will need to undertake from Pre-Application activities to Notification.

Select the icons below to navigate to relevant sections of the document (return here by pressing the 'home' icon):



[How to use this guide](#)



EMR system information



Useful links

A central white box with a thin border containing two icons and their corresponding text labels. The first icon is a laptop, and the second is a computer mouse.

[Contents](#)

# 1. Pre-Application activities

There are a number of Pre-Application activities that Applicants must undertake before being able to proceed with applying for a CfD.  
Select from the buttons opposite to find out more:

1.1 Rule changes impacting R3

1.2 Register your organisation

1.3 Supply Chain Plan

1.4 Minor & Necessary

1.5 Allocation process overview

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

# 1.1 Rule changes impacting R3

Category	Change	Description
Applicable Planning Consents	Where a copy of a Planning Decision Notice is required it should be signed and dated (where relevant)	Copy of all applicable planning consent(s), including a signed and dated Planning Decision Notice (where relevant)
Applicable Planning Consents	Expiry date of planning decision notice	Where the planning decision notice is subject to an expiry date, if this has expired please provide a signed letter from issuing authority confirming extension alongside their signed and dated Planning Decision Notice as evidence of issuing authority granting an extension.
Applicable Planning Consents	Clarification of technology in planning decision notice	Where the applicable planning consent specifies a technology, which is different from the technology within the application, please provide evidence to clarify this.
Connection Agreement	Private Network Use Agreement capacity requirement	Where the Applicant has specified that a Private Network Use Agreement applies, such Private Network Use Agreement states the initial installed capacity to that private network and the capacity in the private network that is accessible under the agreement.



# 1.1 Rule changes impacting R3



Category	Change	Description
Connection Agreement	<b>Conflict between Technology stated in a Connection Agreement versus what technology type has been applied for.</b>	Where the applicable planning consent specifies a technology, which is different from the technology within the application, please provide evidence to clarify this.
ACT Requirements	ACT consultation proposals	BEIS requiring applicants for ACT plants to submit a schematic to show that the gasification/liquefaction process is separate from combustion, and to declare that this is consistent with BEIS guidance.
	ACT consultation proposals	New declaration question added into application form for Energy from Waste and Dedicated Biomass to state that applicants meet the CHPQA requirements.  "Each Applicant must declare that they are aware that the CfD Agreement requires a valid CHPQA Certificate in respect of the project to be delivered to the CFD Counterparty as a 'Further Condition Precedent'."
Remote Island Wind Requirements	<b>New technology being introduced: Remote Island Wind</b>	In Allocation Round 3 a new technology and regulation has been added for Remote Island Wind as 27A into Allocation Regulations.  Evidence will be requested from RIW applicants for demonstrating that the relevant CfD Unit is expected, as at the Target Commissioning Date, to satisfy the Remote Island Wind condition set out at Regulation 27A(3)(d) of the Contracts for Difference (Allocation) Regulations 2014 (as may be amended or modified by the Allocation Framework)."



# 1.2 Register your organisation (1/4)

In order to apply for a CfD, Applicants will be required first to register their company and users on the [EMR Delivery Body website](#). The Registration process controls access to the EMR Delivery Body (DB) Portal and seeks to ensure that only legitimate representatives of a company or equivalent may act on its behalf in the Allocation Round.

Select the EMR Company Registration support guide icon or the Registration video icon below for more information on how to complete Registration on the EMR DB Portal.

**EMR Registration  
R2 Video**

This video from Round 2 shows the overview of the Application form in the system, click on the button above to watch the video. NB some users may find these easier to access in Google Chrome.

**EMR Registration  
support guide**



**Please note, Safari and Google Chrome are not supported in the Registration process; we recommend using Internet Explorer or Firefox.**



1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

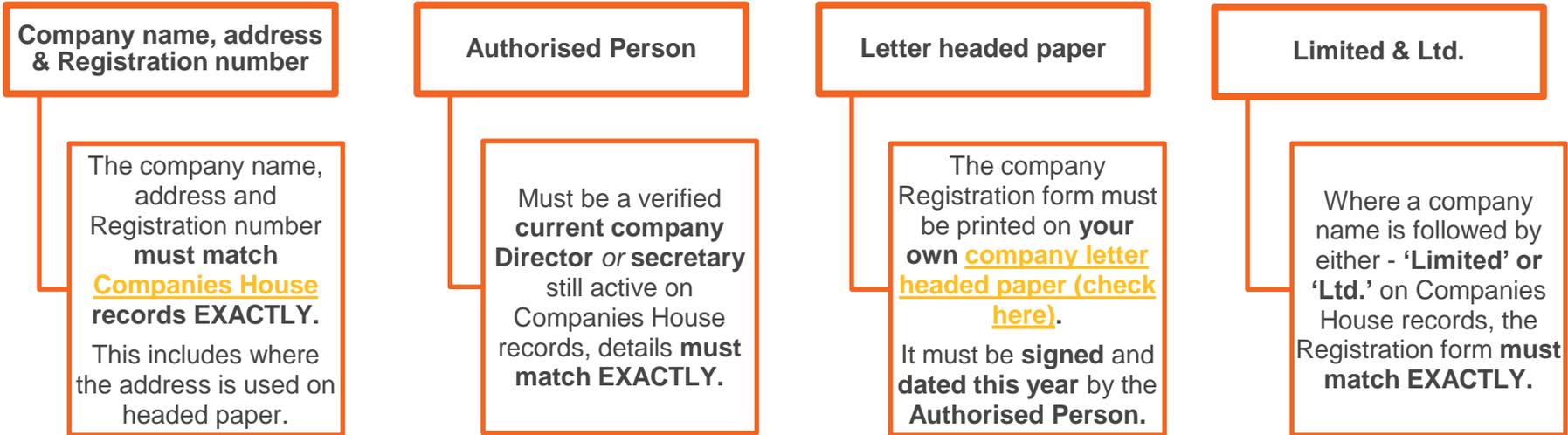
7. Sealed Bids

8. Allocation

9. Notification

# 1.2 Mandatory Registration criteria (2/4)

This section outlines the mandatory requirements for Registration of company details on the EMR DB Portal. Find out more below:



**Failure to comply with each of these Registration requirements will delay the Registration process and prevent you from being able to access the EMR DB Portal.**



## 1.2 Mandatory Registration criteria (3/4)

Your company details on the Application form and on the certificates/declarations will be checked against Companies House.

### Non-registered company / other entity

- If you are a non-listed company for the following types, then you need to provide the relevant evidence as detailed below:
  - Joint Venture (JV) – a JV agreement / structure chart or a letter signed by the Directors on letter headed paper to state that he/she is a Director for the organisation.
  - Partnership – a letter signed by the Partner on letter headed paper to state that he/she is a Partner/Owner of the organisation.
  - Community Project/Charity – a letter signed by the Head of the organisation on letter headed paper to state that he/she has the relevant decision making authority.



# 1.2 User Management (4/4)

## User Management responsibilities

Once an Applicant has successfully registered a user account, they are responsible for the management of that account. The ONLY exceptions where we, the Delivery Body, will update user data are:

- Company Name
- Company Address
- Authorised person

NB We will only update these fields following a written request from a company Director.

## Main Admin. Correspondence

Your Company details of your account within the EMR Portal, will displays main admin details at the time of company Registration. We understand that people change job roles and therefore, a new main admin may have been created since company Registration. Therefore, please ensure that your main admins. are kept up to date as we will only correspond with Main Admins who are active on the EMR Portal. For more information please refer to the [EMR Company Registration User Support Guide](#) for information on how to create user accounts.



# 1.3 Supply Chain Plan

**Note: the Supply Chain Plan application window for Round 3 closed on 10<sup>th</sup> February 2019.**

The first stage for **Applicants with projects of 300MW** or more, and who wish to apply for a CfD is to review the Supply Chain guidance provided by BEIS which can be accessed by selecting Supply Chain Plan guidance button.

Once an Applicant has reviewed the guidance they should then prepare and submit a Supply Chain Plan to BEIS. Information in the plan should include:

- Contact details
- Project details
- Project Summary
- Competition, innovation and skills criteria



Those who are successful will receive a Supply Chain Approval Certificate from BEIS, which CfD Applicants must provide to the Delivery Body as part of their CfD Application.



# 1.4 Minor and Necessary

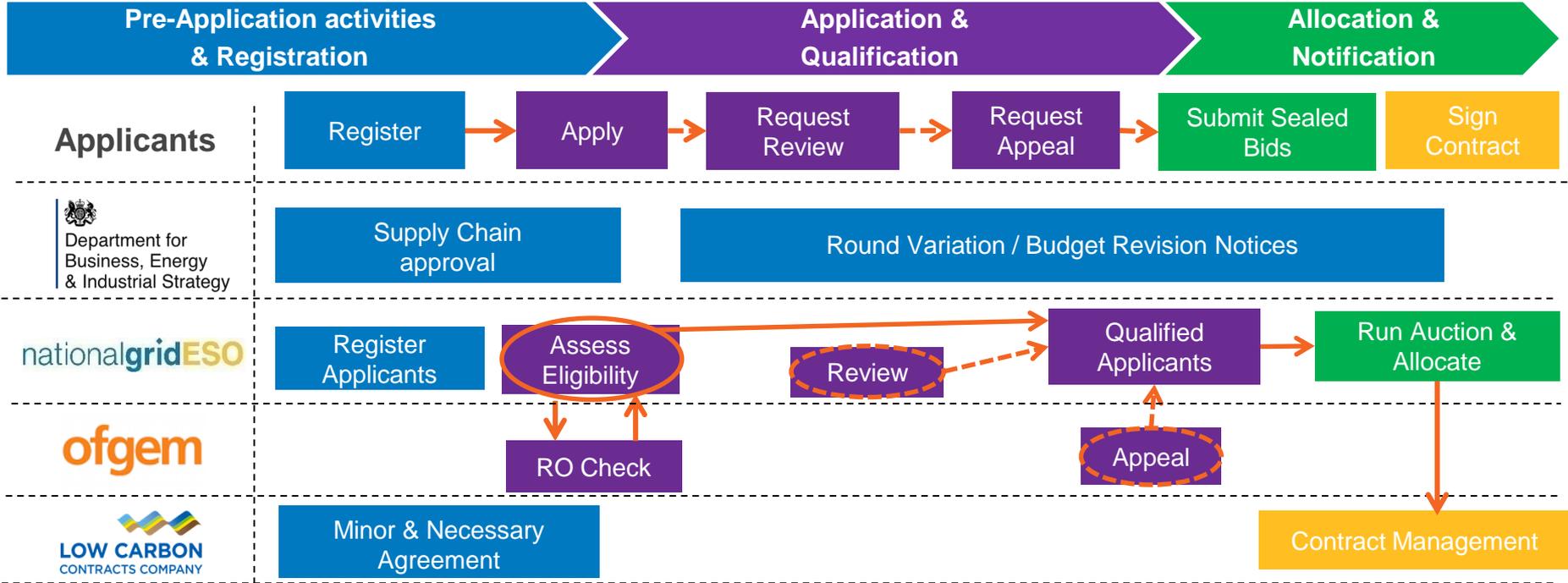
The Minor and Necessary process enables prospective CfD Applicants to review the CfD Standard Terms and Conditions and if necessary, apply to the Low Carbon Contracts Company (LCCC) for “Minor and Necessary modifications”. LCCC will assess all Applications in accordance with the criteria set out in the Regulations.

For more information on how and when to apply to LCCC for a Minor and Necessary modification, click on the icon below:



# 1.5 Allocation process overview

Below is an overview of the Allocation Round process showing the interactions between Delivery Partners and Applicants.



## 2. Completing the Application Form

This section contains information on how to create your Application in the EMR DB Portal.

2.1 - 2.3 covers the Application for all Technology Types.

2.4 covers information that is **specific to technology types**.

2.5 covers the next steps of the Application process once the Application Window has closed.

It should be used in conjunction with [Section 1. Pre-Application activities](#) and [Section 3. Qualification](#).

Select from the buttons opposite to find out more:

2.1 Application overview & demonstration

2.2 Application (generic) – system view

2.3 Application (generic) checklist

2.4 Application – Additional Technology Information

2.5 Application next steps

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

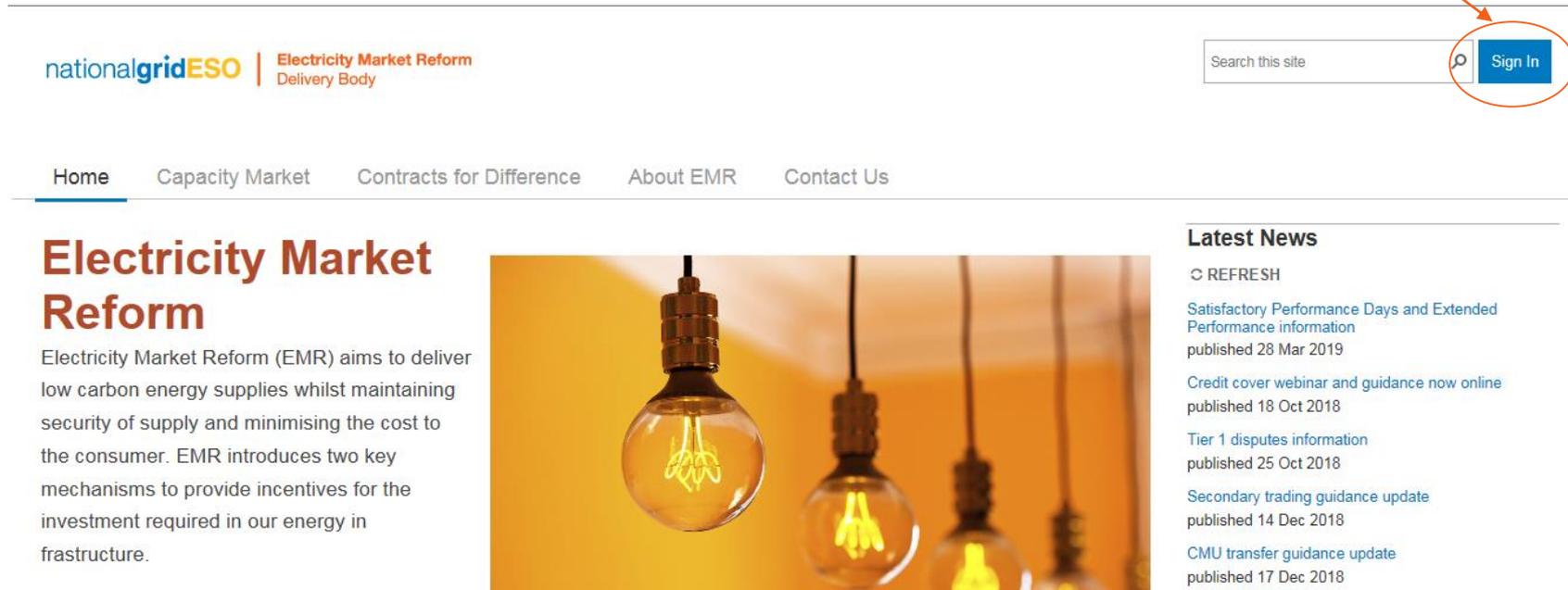
7. Sealed Bids

8. Allocation

9. Notification

## 2.1 Application (generic) – overview

To complete a Contracts for Difference application go to [EMR Portal Home](#) and sign in using your user name, password and pin as provided following your successful company registration.



The screenshot shows the top navigation bar of the nationalgrid ESO website. The logo 'nationalgrid ESO | Electricity Market Reform Delivery Body' is on the left. A search bar with the text 'Search this site' and a magnifying glass icon is on the right, with a blue 'Sign In' button next to it. Below the search bar is a horizontal menu with links: Home, Capacity Market, Contracts for Difference, About EMR, and Contact Us. The main content area features a large heading 'Electricity Market Reform' and a paragraph describing the EMR's goals. To the right is a 'Latest News' section with several news items. At the bottom, a large orange arrow-shaped banner contains a 9-step process flow.

**nationalgrid ESO** | Electricity Market Reform  
Delivery Body

Search this site

[Home](#) [Capacity Market](#) [Contracts for Difference](#) [About EMR](#) [Contact Us](#)

### Electricity Market Reform

Electricity Market Reform (EMR) aims to deliver low carbon energy supplies whilst maintaining security of supply and minimising the cost to the consumer. EMR introduces two key mechanisms to provide incentives for the investment required in our energy in frastructure.

#### Latest News

🔄 REFRESH

- [Satisfactory Performance Days and Extended Performance information](#)  
published 28 Mar 2019
- [Credit cover webinar and guidance now online](#)  
published 18 Oct 2018
- [Tier 1 disputes information](#)  
published 25 Oct 2018
- [Secondary trading guidance update](#)  
published 14 Dec 2018
- [CMU transfer guidance update](#)  
published 17 Dec 2018

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

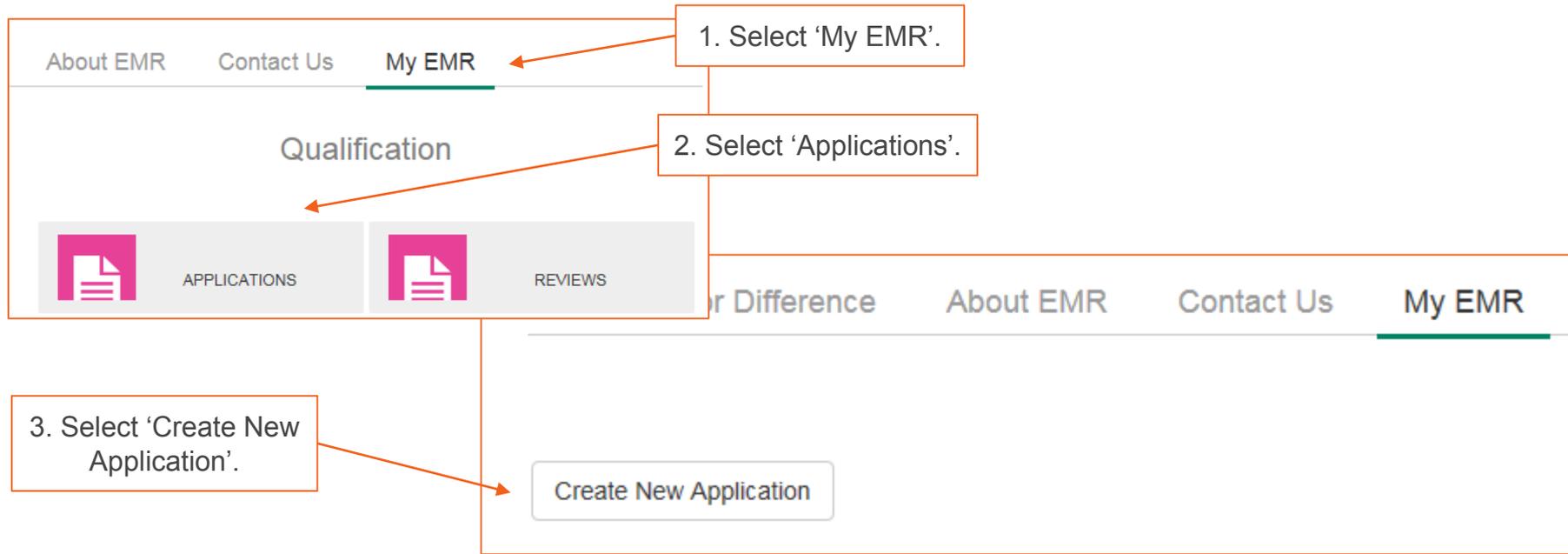
7. Sealed Bids

8. Allocation

9. Notification

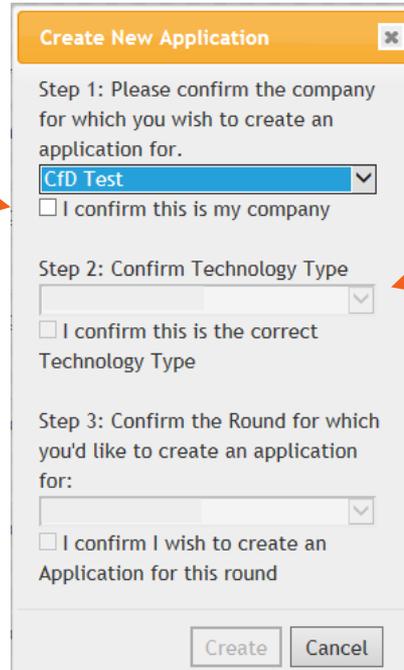
## 2.1 Application (generic) – overview

Once logged in, go to the 'My EMR' section of the Portal. Select the 'Applications' button and then select 'Create New Application' on the next screen to create an Application.



## 2.1 Application (generic) – overview

Once 'Create New application' has been selected, the following fields will appear:



1. Select your Company from the drop down menu and then confirm the Company.

2. Select the Technology Type from the drop down menu and select the box to confirm the Technology Type.

3. Select Round 3 from the drop down menu and confirm create.

NB This is a dynamic application form. Questions will be available dependent upon the Technology selected and the answers provided.

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

# 2.1 Application (generic) – overview

At the top of the screen of the Application form, you will see the following buttons:

## Create new Application



Select 'Save' to save your Application. You will be able to edit your Application once saved.

Select 'Print' to print out the Application form.

Select 'Withdraw' to withdraw your submitted Application.

Select 'Cancel' to cancel the changes you have made. These changes will not be saved.

Select 'Delete' to delete your Application. NB This option is not available once your Application is submitted.

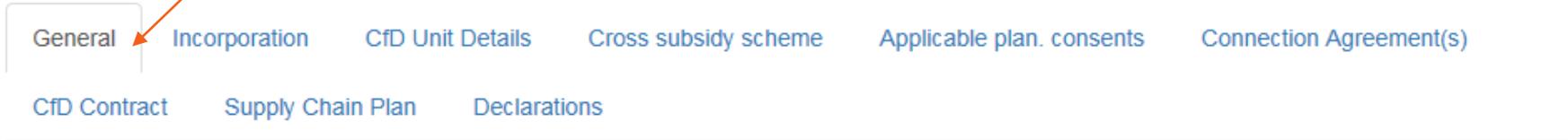
Select 'Submit' to submit your Application. To edit the Application, you will need to withdraw it and then select edit to amend the form.



## 2.1 Application (generic) – overview

When you have completed the “Create new applications window” the blank application form will appear with 9 tabs, the first one pre-selected is “General”.

### Create new Application



**(\*) Questions marked with an asterisk are mandatory. All other questions are non-mandatory but are used to support the assessment.**



## 2.1 Application - Demonstration overview

Once registered, Applicants can create an Application following the guidance within this document. To see a demonstration overview refer to the Application Video from Round 2 in 2017, below.

### Application video tutorial R2 - 2017

This video from Round 2 shows the overview of the Application form in the system, click on the button above to watch the video. NB some users may find these easier to access in Google Chrome.

### Recommended Portal system requirements

**IMPORTANT**  
You must make sure that your computer and browser are compatible with the CfD Portal, click on the button above to find out more.

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 2.2 Application (generic) – system view

The Application form is split up into 9 tabs (see below). Select the buttons below to see the system view of each section of the Application form:

1. General

2. Incorporation

3. CfD Unit details

4. Cross subsidy  
scheme

5. Applicable planning  
consents

6. Connection  
Agreement(s)

7. CfD Contract

8. Supply Chain Plan

9. Declarations

1. Pre-  
Application  
activities

2.  
Application

3.  
Qualification

4.  
Reviews

5.  
Appeals

6.  
Valuation

7.  
Sealed Bids

8.  
Allocation

9.  
Notification

# 2.2 Application (generic) – system view

## 1. General tab

**New A: Does any part of your CfD Unit overlap with any of the excluded sites to which a temporary site exclusion applies (as held by the Low Carbon Contracts Company)? (\*)**

Yes  No

**New B: Please provide a copy of your Exemption Certificate (\*)**

**New C: Please select that your CfD Unit is located in Great Britain? (\*)**

**A9: Technology Type (\*)**

Apart from Offshore Wind, technologies can only have a single phase and are therefore identified as Phase 1 in this application form

**A9: Technology Type (\*)**  
 Apart from Offshore Wind, technologies can only have a single phase and are therefore identified as Phase 1 in this application form

**A10: Number of CfD Phases (\*)**

If 'Yes' is selected in New A, New B will appear and you will be required to upload your exemption certificate.

Great Britain will appear in this field as default.

The technology selected on the previous page will appear here.

NB – All technologies have a single phase unless they are Offshore wind. Where Offshore Wind is chosen A10 will appear and they can select the maximum of 3 phases.



## 2.2 Application (generic) – system view

### 2. Incorporation tab

The Incorporation tab is split into four sections: **Company/Applicant details**, **Agent details** (where applicable), **phase details** and **VAT details**.

The Company/Applicant details section can be see below. Select the buttons below to see the system view for each type:

#### A2: Is the Application being made on behalf of a Company? (\*)

Note that an Unincorporated Joint Venture is not a Company for the purposes of this question, If you are an Unincorporated Joint Venture , please answer "No" to this question.

Yes  No

A2: Yes, the Application is on behalf of a company

A2: No, the Application is on behalf of an organisation



# 2.2 Application (generic) – system view

## 2. Incorporation tab – Company details (1/2)

– Company Details

**A3: Company Name (\*)**

**A3a: Company Registered Address Line 1 (\*)**

**A3b: Company Registered Address Line 2**

**A3c: Company Registered Address Line 3**

**A3d: City**

**A3e: Postcode (\*)**

**A3f: Country in which Company is located (\*)**

These details are completed as default from the company details provided at Registration.



# 2.2 Application (generic) – system view

## 2. Incorporation tab – Company details (2/2)

A3g: Region (England, Wales, Scotland or Northern Ireland) where Company is located (\*)

If Northern Ireland is selected from the dropdown, an [agent section](#) will appear that will need to be completed.

A3h: Email address of preferred contact (\*)

A3i: Landline of authorised contact to be in format "+[2 digit country code] [NUMBER without leading 0]"

A3j: Mobile Number of authorised contact to be in the format "+[2 digit country code] [NUMBER without leading 0]" (\*)

A3k: Fax Number of authorised contact to be in the format "+[2 digit country code] [NUMBER without leading 0]"

A4: Company Registration Number (\*)

A4a: Please upload a copy of your Certificate of Incorporation (\*)

A4b: Should your Certificate of Incorporation require any clarification, please provide it here

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

# 2.2 Application (generic) – system view

## 2. Incorporation tab – Applicant details (other than Company/Corporate Body) (1/2)

– Applicant Details (where not a Company/Corporate Body)

**A6: Do you have a Legal Personality that will allow you to enter into the CfD Contract? (\*)**

(Legal personality means to be capable of having legal rights and duties within a certain legal system such as to enter into contracts)

Yes  No

**A7: Name of Applicant (\*)**

CfD Test

**A7a: Address Line 1 (\*)**

1

**A7b: Address Line 2**

**A7c: Address Line 3**

**A7d: City**

1

**A7e: Postcode (\*)**

1

These details are completed as default from the company details provided at Registration.

If you are not a registered company you will be asked to complete A6 and New C0. Unincorporated Joint Ventures will need to provide supporting documentation.

**New C0: Are you an Unincorporated Joint Venture? (\*)**

Yes  No

**New C1: Please provide a pdf setting out name, address and contact details of the parties involved in the Unincorporated Joint Venture**

Browse...

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

# 2.2 Application (generic) – system view

## 2. Incorporation tab – Applicant details (other than Company/Corporate Body) (2/2)

A7f: Country in which Applicant is located (\*)

United Kingdom

A7g: Region (England, Wales, Scotland or Northern Ireland) where Applicant is located (\*)

-

If Northern Ireland is selected from the dropdown, an agent section will appear that will need to be completed.

A7h: Email address of preferred contact (\*)

Enter the contact numbers of the Applicant. It is mandatory to provide at least the mobile number.

A7i: Landline of authorised contact to be in the format "+[2 digit country code] [NUMBER without leading 0]"

A7j: Mobile Number of authorised contact to be in the format "+[2 digit country code] [NUMBER without leading 0]" (\*)

A7k: Fax Number of authorised contact to be in the format "+[2 digit country code] [NUMBER without leading 0]"

If 'Yes' is selected, the field New C1 will appear.

New C0: Are you an Unincorporated Joint Venture? (\*)

Yes  No

New C1: Please provide a pdf setting out name, address and contact details of the parties involved in the Unincorporated Joint Venture



# 2.2 Application (generic) – system view

## 2. Incorporation tab – Agent details (1/2)

Only applicable if Northern Ireland is selected as the region.

### – Agent Details

#### A8: Name of Agent (\*)

(Agent is to provide the powers of the contract to take effect as the legal powers wouldn't be able to be enforced outside their jurisdiction and so the Applicant would need someone within GB to apply on their behalf)

Enter the name of the Agent.

#### A8a: Address Line 1 (\*)

#### A8b: Address Line 2

#### A8c: Address Line 3

Enter the address details of the Agent. It is mandatory to complete address line 1 and the postcode.

#### A8d: City

#### A8e: Postcode (\*)



# 2.2 Application (generic) – system view

## 2. Incorporation tab – Agent details (2/2)

Only applicable if Northern Ireland is selected as the region.

Enter the country and region of the location of the Agent.

**A8f: Country in which Agent is located (\*)**

**A8g: Region in which Agent is located (\*)**

Enter the email address of the Agent.

**A8h: Email address (\*)**

Enter the contact numbers of the Agent. It is mandatory to provide at least the mobile number.

**A8i: Landline to be in the format "+[2 digit country code] [NUMBER without leading 0]"**

**A8j: Mobile Number to be in the format "+[2 digit country code] [NUMBER without leading 0]" (\*)**

**A8k: Fax Number to be in the format "+[2 digit country code] [NUMBER without leading 0]"**

You do not need to provide the fax number.



# 2.2 Application (generic) – system view

## 2. Incorporation tab – Phase details (1/4)

[← Phases Details](#)

**A11: Will Applicant identified above be the Company/Person entering into CfD Contract for Phase 1? (\*)**

Yes  No

**A12: Name of Company/Person (\*)**

**A12a: Address Line 1 (\*)**  This needs to be the Company registered address if a Company

**A12b: Address Line 2**

**A12c: Address Line 3**

**A12d: City**

**A12e: Postcode (\*)**

NB – All technologies have a single phase unless they are Offshore wind, where they can have the maximum of 3. This must be specified in A10 on the General tab.

**New C: Please select that your CfD Unit is located in Great Britain? (\*)**

Great Britain

**A9: Technology Type (\*)**

Apart from Offshore Wind, technologies can only have a single phase and are therefore identified as Phase 1 in this application form

Offshore Wind

**A10: Number of CfD Phases (\*)**

1  
2  
3



# 2.2 Application (generic) – system view

## 2. Incorporation tab – Phase details (2/4)

– Phases Details

A11: Will Applicant identified above be the Company/Person entering into CfD Contract for Phase 1? (\*)

Yes  No

A12: Name of Company/Person (*)	<input type="text"/>	
A12a: Address Line 1 (*)	<input type="text"/>	This needs to be the Company registered address if a Company
A12b: Address Line 2	<input type="text"/>	
A12c: Address Line 3	<input type="text"/>	
A12d: City	<input type="text"/>	
A12e: Postcode (*)	<input type="text"/>	



## 2.2 Application (generic) – system view

### 2. Incorporation tab – Phase details (3/4)

<b>A12f: Country (*)</b>	<input type="text" value="-"/>	<input type="checkbox"/>	Country in which Company/Person is located
<b>A12h: Email address (*)</b>	<input type="text"/>		
<b>A12i: Landline</b>	<input type="text"/>		to be in the format "+[2 digit country code] [NUMBER without leading 0]"
<b>A12j: Mobile Number (*)</b>	<input type="text"/>		to be in the format "+[2 digit country code] [NUMBER without leading 0]"
<b>A12k: Fax Number</b>	<input type="text"/>		to be in the format "+[2 digit country code] [NUMBER without leading 0]"
<b>A12l: Company Registration Number (*)</b>	<input type="text"/>		

New D: Will the Applicant identified above be the Company/Person to whom notices under the CfD Contract be addressed for Phase 1? (\*)

Yes  No



# 2.2 Application (generic) – system view

## 2. Incorporation tab – Phase details (4/4)

<b>A17: Name of Company/Person (*)</b>	<input type="text"/>	For Phase 1, to whom should notices under the CfD Contract be addressed?
<b>A17a: Address Line 1 (*)</b>	<input type="text"/>	This needs to be the Company registered address if a Company
<b>A17b: Address Line 2</b>	<input type="text"/>	
<b>A17c: Address Line 3</b>	<input type="text"/>	
<b>A17d: City</b>	<input type="text"/>	
<b>A17e: Postcode (*)</b>	<input type="text"/>	
<b>A17f: Country (*)</b>	<input type="text" value="-"/> <input type="checkbox"/>	Country where Party receiving notices under the CfD Contract is located
<b>A17h: Email address (*)</b>	<input type="text"/>	
<b>A17i: Landline</b>	<input type="text"/>	to be in the format "+[2 digit country code] [NUMBER without leading 0]"
<b>A17j: Mobile Number (*)</b>	<input type="text"/>	to be in the format "+[2 digit country code] [NUMBER without leading 0]"
<b>A17k: Fax Number</b>	<input type="text"/>	to be in the format "+[2 digit country code] [NUMBER without leading 0]"



# 2.2 Application (generic) – system view

## 2. Incorporation tab – VAT details

– VAT Details

**A20: Are you registered for Tax in your host country (e.g. VAT registered in UK)? (\*)**

It is not mandatory to be registered for tax in your host country but if you select Yes here, you will need to provide VAT Registration Number or equivalent and upload VAT Certificate of Registration/Tax Certificate

Yes  No

**A21: VAT Registration Number (\*)**

(VAT Registration Number to be 9 digits long)

**A21a: Please upload a copy of your VAT Certificate of Registration (\*)**

**A21b: Should your Registration documentation require any clarification, please provide it here**

If 'Yes' is selected, the following fields will appear and your VAT certificate of registration needs to be uploaded. NB Group VAT Certificate can be uploaded, but you will need to demonstrate that the subsidiary company is part of the parent company. i.e. a letter signed and dated by a current director.

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 2.2 Application (generic) – system view

### 3. CfD Unit details tab (1/6)

Complete the details of the specific CfD Unit, you are applying for.

**B1: Name of CfD Unit (Phase 1) (\*)**

**B1a: Address Line 1 (\*)**  
If no address for site, provide the centre of the nearest onshore substation

**B1b: Address Line 2**

**B1c: Address Line 3**

**B1d: City**

**B1e: Postcode (\*)**

**B1f: Country in which CfD Unit (Phase 1) is located (\*)**  
United Kingdom

**B1g: Region in which CfD Unit (Phase 1) is located (\*)**  
-

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

# 2.2 Application (generic) – system view

## 3. CfD Unit details tab (2/6)

B2: Ordnance Survey Map Reference(s) to centre of site where CfD Unit (Phase 1) is located (centre of nearest onshore substation if Offshore Wind, Hydroelectric Power, Wave or Tidal Stream) and must be in the following format:

1st Letter will be N, T, S or H, 2nd Letter one of A (North West corner) to Z (South East corner) except I, 6 Numbers (3 digits for each Eastings and Northings - i.e. within each square how far from the SW corner of the square) (\*)

B3: Grid Coordinates: Latitude in WGS84 format to 3 decimal places of Northerly extreme coordinate of site where CfD Unit (Phase 1) is located (\*)

B3a: Grid Coordinates: Longitude in WGS84 format to 3 decimal places of Northerly extreme coordinate of site where CfD Unit (Phase 1) is located (\*)

B3b: Grid Coordinates: Latitude in WGS84 format to 3 decimal places of Easterly extreme coordinate of site where CfD Unit (Phase 1) is located (\*)

B3c: Grid Coordinates: Longitude in WGS84 format to 3 decimal places of Easterly extreme coordinate of site where CfD Unit (Phase 1) is located (\*)

B3d: Grid Coordinates: Latitude in WGS84 format to 3 decimal places of Southerly extreme coordinate of site where CfD Unit (Phase 1) is located (\*)

B3e: Grid Coordinates: Longitude in WGS84 format to 3 decimal places of Southerly extreme coordinate of site where CfD Unit (Phase 1) is located (\*)

B3f: Grid Coordinates: Latitude in WGS84 format to 3 decimal places of Westerly extreme coordinate of site where CfD Unit (Phase 1) is located (\*)

B3g: Grid Coordinates: Longitude in WGS84 format to 3 decimal places of Westerly extreme coordinate of site where CfD Unit (Phase 1) is located (\*)

New G: Please provide a map showing scale, shape of CfD Unit and identify Longitude and Latitude (in WGS84 format to 3 decimal places) of Northerly, Easterly, Southerly and Westerly extreme coordinates of site where CfD Unit (Phase 1) is located (\*)

B10: Is this Phase 1 CfD Application for Established (New) or Altered (Additional) capacity? (\*)

Applicant should refer to Eligibility Regulations Clause 3, Part 5(a) and (b) for definitions of established and altered

Established (New)  Altered (Additional)

B11: Provisional Capacity Estimate (Gross capacity minus parasitic loads minus electrical losses) for the CfD Unit Phase 1 (in MW to 2 decimal places)

For Altered(additional) capacity, only state the additional MW

If Offshore and >1 Phase, then Provisional Capacity Estimate for the CfD Unit Phase 1 must be >=25% of the sum of Initial Installed Capacity Estimates for all phases (\*)

Locational information is requested  
An address example is shown on the next page.

Remember to upload your map of the CfD Unit.



# 2.2 Application (generic) – system view

## 3. CfD Unit details tab (3/6)

Applicant will be asked to declare that the CfD Unit is not in receipt of subsidies for CM, CfD, NFFO, SRO.

The Delivery Body, checks the location of the CfD unit details against public data, to identify the shape and boundary of the site and to ensure that the CfD Unit being applied for is not already in receipt of these subsidies.

### Grid Reference

**SP 29600 64000**

Grid Reference (6 figure)

**SP296640**

X (Easting) :

**429600**

Y (Northing) :

**264000**

Latitude :

**52.273361**

Longitude :

**-1.5676159**

Address (near) :

**National Grid House, Warwick Technology Park, Warwick, Warwickshire, West**

Postcode (nearest) :

**CV34 6DA**

An Example of Address references cells populated

**B2: Ordnance Survey Map Reference(s) to centre of site where CfD Unit (Phase 1) is located (centre of nearest Power, Wave or Tidal Stream) and must be in the following format:**

1st Letter will be N, T, S or H, 2nd Letter one of A (North West corner) to Z (South East corner) except I, 6 Numbers within each square how far from the SW corner of the square) (\*)

**B3: Grid Coordinates: Latitude in WGS84 format to 3 decimal places of Northerly extreme coordinate of site where CfD Unit (Phase 1) is located (\*)**

**B3a: Grid Coordinates: Longitude in WGS84 format to 3 decimal places of Northerly extreme coordinate of site where CfD Unit (Phase 1) is located (\*)**



## 2.2 Application – system view

### 3. CfD Unit details tab – Remote Island Wind Applicants ONLY (4/6) All other technologies please continue to the next page.

For applicants choosing Remote Island Wind (RIW) there is an additional question titled, B10. This is a requirement to upload a schematic diagram demonstrating that they meet the RIW condition in Reg. 27A (3) (d).

**New G: Please provide a map showing scale, shape of CfD Unit and identify Longitude and Latitude (in WGS84 format to 3 decimal places) of Northerly, Easterly, Southerly and Westerly extreme coordinates of site where CfD Unit (Phase 1) is located (\*)**

Browse...

**New B10: Please upload evidence demonstrating that the relevant CfD Unit is expected, as at the Target Commissioning Date, to satisfy the Remote Island Wind condition set out at Regulation 27A(3)(d) of the Contracts for Difference (Allocation) Regulations 2014 (as may be amended or modified by the Allocation Framework) (\*)**

Browse...

**B10: Is this Phase 1 CfD Application for Established (New) or Altered (Additional) capacity? (\*)**

Applicant should refer to Eligibility Regulations Clause 3, Part 5(a) and (b) for definitions of established and altered

For more information on RIW see [here](#)

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 2.2 Application (generic) – system view

### 3. CfD Unit details tab (5/6)

**B12: Target Commissioning Date for Phase 1** Date must be in format DD/MM/YYYY (\*)

**B13: Delivery Year for Phase 1 (\*)**

**B14: Target Commissioning Window Start Date for Phase 1 (\*)**  
Must be set so that the Target Commissioning Date for Phase 1 falls within the Target Commissioning Window for Phase 1

Date must be in format DD/MM/YYYY

**B15: Target Commissioning Window End Date for Phase 1 (\*)**

**B28: Reference price that applies to the CFD Unit (\*)**

NB The Target Commissioning Date cannot be prior to the opening of the Target Commissioning window.



## 2.2 Application (generic) – system view

### 3. CfD Unit details tab (6/6)

**B28: Reference price that applies to the CFD Unit (\*)**

Intermittent

**B31: Please provide whether your CfD Unit is Round 2 or Round 3/Scottish Territorial Waters? (\*)**

-

**B32: Please provide a copy of your Crown Estate Lease/Agreement for Lease in respect of the location of your CfD Unit (\*)**

Browse...

**B32a: Should your Crown Estate Lease/Agreement for Lease require any clarification, please provide it here**

NB B31, B32, B32a - Only asked if answer to Question A9 = Offshore Wind

Upload a copy of agreement for lease for the OFTO as well as the CfD unit

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 2.2 Application (generic) – system view

### 4. Cross subsidy scheme tab (1/3)

Regulation 14 of the Contracts for Difference Allocation Regulation 2014 and Schedule 4 of the [CfD Allocation Framework R3 2019](#) specify an Applicant is excluded from applying for a CfD if they are in receipt of the following subsidies.

**Excluded from  
applying for a CfD**

The Delivery Body will check that the postcode, geographic coordinates, and/or the Ordnance Survey Grid Reference of the CfD Unit specified is not a site where an accreditation applies.



## 2.2 Application (generic) – system view

### 4. Cross subsidy scheme tab (2/3)

**C1: Is the CfD Unit accredited in the Renewables Obligation or has a current application for accreditation with Ofgem? (\*)**

By selecting **"Yes"** the Applicant is making the declaration that a renewables obligation "accreditation" or an application for "accreditation", which has not yet been determined, applies or has applied to the relevant CfD Unit.

By selecting **"No"** the Applicant is making the declaration that neither a renewables obligation "accreditation" nor an application for "accreditation", which has not yet been determined, applies or has applied to the relevant CfD Unit.

Applicants selecting **"Yes"** will be identified as Dual Scheme Plant and will be asked to identify the Type of Dual Scheme Plant

Yes  No

If 'Yes' is selected in C1, the fields C2 and C4 will appear.

**C2: Type of Dual Scheme Plant (\*)**

Additional Capacity



**C4: What is the capacity to 2 decimal places in MW of the whole station? (\*)**

For Biomass Conversion this will default to the answer provided to Question B30

-  
Phased Offshore Wind CfD Unit  
Biomass Conversion  
Additional Capacity

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 2.2 Application (generic) – system view

### 4. Cross subsidy scheme tab (3/3)

C6, C7, C8 – These are declarations confirming if the Applicants is or is not in receipt of other Government subsidies as specified in Schedule 4 of the [CfD Allocation Framework R3 2019](#) .

**C6: Have you ever received or are you receiving funding under the non fossil fuel orders? (\*)**

By selecting the response "**No**" the Applicant is making the declaration that the CfD Unit has not received nor receiving funding under the non fossil fuel orders managed by the NFPA.

Yes  No

**C7: Is the CfD Unit already in receipt of a CfD or Investment Contract? (\*)**

By selecting the response "**No**" the Applicant is making the declaration that the CfD Unit does not have an existing CfD or Investment Contract

Yes  No

**C8: Is the CfD unit already in receipt of a Capacity Agreement or has a current application to the Capacity Market that has not yet been determined? (\*)**

By selecting the response "**No**" the Applicant is making the declaration that the CfD Unit does not have an existing Capacity Agreement or a current application to the Capacity Market

Yes  No



## 2.2 Application (generic) – system view

### 5. Applicable planning consents tab

As part of normal project construction and operation, a generation project may require a number of different planning consents both for the generation facility and to connect to a Transmission or Distribution Network. Only some specific types of consents are relevant for CfD Qualification which are known as the ‘Applicable Planning Consents’. Below are some pertinent questions to help you decide which ‘Applicable Planning Consents’ you may need to provide with your CfD Application.

- 1** What planning consents do I need for CfD Unit and to get power to Transmission/ Distribution/Private Wire Network?
- 2** Which are Applicable Planning Consents as defined in Allocation Regulations?
- 3** Which of the above relate to works to which both a Connection Agreement applies and those works are undertaken by a person other than the Applicant?
- 4** Planning Decision Notice for each Applicable Planning Consent where works undertaken by Applicant.
- 5** Declare that Applicable Planning Consents provided apply to CfD Unit and cover works for CfD Unit to get power to the Transmission/Distribution/Private Wire Network (or the relevant network operator are obtaining such consents on Applicant’s behalf and this is covered in Connection Agreement(s)).

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 2.2 Application (generic)

### 5. Applicable planning consents – Qualification

#### Applicants

Planning Decision Notice for each Applicable Planning Consent that applies

\*If expiry date is prior to date of CfD Application, provide appropriately signed and dated supporting evidence to clarify that such expiry date is extended to the date after date of CfD Application

nationalgridESO

Location & technology consistency checks

MW in planning decision notice  $\geq$  capacity applied for

Planning Decision Notice has not expired at the date of the CfD Application\*

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 2.2 Application (generic)

### 5. Applicable planning consents – Qualification Evidence requirements

#### Acceptable evidence



Planning Decision Notice

#### Unacceptable evidence



Planning committee meeting minutes



Outline Planning Permission

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

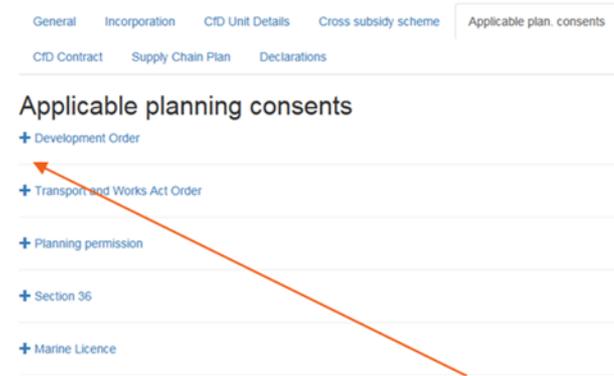
7. Sealed Bids

8. Allocation

9. Notification

# 2.2 Application (generic) – system view

## 5. Applicable planning consents tab



NB Within the Applicable plan.consents tab, open each Planning Consent that is applicable to you by pressing the '+' symbol to expand the questions.

### D 41 is available for all planning types.

D41: Confirm that the applicable planning consents provided apply to the CfD Unit and cover the works to allow the CfD Unit to supply electricity to the transmission, distribution or private wire network (or the DNO/TNO are obtaining such consents on their behalf and this is covered in connection agreement(s))

Applicable Planning Consents are limited to those identified in Allocation Regulation 24

By selecting the response 'Yes' the Applicant is making a declaration of compliance with Allocation Regulation 23 (\*)

Yes  No



## 2.2 Application (generic) – system view

### 5. Applicable planning consents tab

As part of normal project construction and operation, a generation project may require a number of different planning consents both for the generation facility and to connect to a Transmission or Distribution Network. Only some specific types of consents are relevant for CfD Qualification which are known as the ‘Applicable Planning Consents’. The applicable planning consents tab is split into five sections. Select the buttons below to see the system view for each applicable planning consent



NB copies of all applicable planning consent(s), should including a signed and dated Planning Decision Notice, where relevant. If the Planning Consent has expired, evidence of authorised extension must be provided. Where planning consents specifies a technology – if that technology differs to the technology on the Application form, clarification evidence must be provided.



## 2.2 Application (generic) – system view

### 5. Applicable planning consents tab – Development Order (1/6)

[Development Order](#)

D1: Is a Development Order one of your applicable planning consents? This is the Development Consent Order under section 114 of Planning Act (\*)

Yes  No

D2: Provide reason why Development Order is not required for your CfD Unit (\*)

If 'No' is selected in D1, the field D2 will appear asking for the reasons for your answer.

See the next slide for the questions that appear if 'Yes' is selected in the D1 field.



## 2.2 Application (generic) – system view

### 5. Applicable planning consents tab – Development Order (2/6)

D1a: It is a requirement of Applicants to provide a copy of their Development Order. Please upload a copy of your Development Order (\*)

D1b: Should your Development Order require any clarification, please provide it here

If 'Yes' is selected in the D1 field, these questions will appear.

D3: Where available, provide Ordnance Survey Map Reference from your Development Order

D3a: Where available, indicate the reference of the document where the Ordnance Survey Map Reference can be found

D3b: Where available, indicate the page number of the document where the Ordnance Survey Map Reference can be found

D3c: Provide any further comments on your Ordnance Survey Map Reference which will help us to identify this as the location of your CfD Application

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 2.2 Application (generic) – system view

### 5. Applicable planning consents tab – Development Order (3/6)

D4: Where available, provide Postcode from your Development Order

D4a: Where available, indicate the reference of the document where the postcode can be found

D4b: Where available, indicate the page number of the document where the postcode can be found

D4c: Provide any further comments on your Postcode which will help us to identify this as the location of your CfD Application

If 'Yes' is selected in the D1 field, these questions will appear.

D5: Where available, provide the Northerly Grid Coordinates (WGS84 format) from your Development Order

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 2.2 Application (generic) – system view

### 5. Applicable planning consents tab – Development Order (4/6)

D5a: Where available, provide the Easterly Grid Coordinates (WGS84 format) from your Development Order

If 'Yes' is selected in the D1 field, these questions will appear.

D5b: Where available, provide the Southerly Grid Coordinates (WGS84 format) from your Development Order

D5c: Where available, provide the Westerly Grid Coordinates (WGS84 format) from your Development Order

D5d: Where available, indicate the reference of the document where the Grid Coordinates (WGS84 format) can be found

D5e: Where available, indicate the page number of the document where the Grid Coordinates (WGS84 format) can be found

D5f: Provide any further comments on your Grid Coordinates (WGS84 format) which will help us to identify this as the location of your CFD Application

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

# 2.2 Application (generic) – system view

## 5. Applicable planning consents tab – Development Order (5/6)

**D6: Where available, provide the capacity in MW from your Development Order**

**D6a: Where available, indicate the reference of the document where the capacity in MW can be found**

**D6b: Where available, indicate the page number of the document where the capacity in MW can be found**

**D6c: Provide any further comments on the Development Order, which will help us validate the capacity in MW of your CfD Application**

**D7: Where available, provide the date (in format DD/MM/YYYY) by when the applicable planning consents expire in your Development Order**

**D7a: Where available, indicate the reference of the document where the date by when the applicable planning consents expire can be found**

If 'Yes' is selected in the D1 field, these questions will appear.



## 2.2 Application (generic) – system view

### 5. Applicable planning consents tab – Development Order (6/6)

If 'Yes' is selected in the D1 field, these questions will appear.

D7b: Where available, indicate the page number of the document where the date by when the applicable planning consents expire can be found

D7c: Provide any further comments on the Development Order, which will help us confirm that it has not expired

D8: Where available, provide technology type from your Development Order

D8a: Where available, indicate the reference of the document where the technology type can be found

D8b: Where available, indicate the page number of the document where the technology type can be found

D8c: Provide any further comments on your technology type which will help us to identify this as the technology in your CfD Application

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 2.2 Application (generic) – system view

### 5. Applicable planning consents tab – Transport and Works Act Order (1/4) only applicable to Wales and Offshore Wind

#### Applicable planning consents

+ Development Order

– Transport and Works Act Order

**D9: Is a Transport and Works Act Order one of your applicable planning consents? This is the Order under section 3 of the Transport and Works Act 1992 (\*)**

Yes  No

If D9 – No is selected  
D10 will appear.

– Transport and Works Act Order

**D9: Is a Transport and Works Act Order one of your applicable planning consents? This is the Order under section 3 of the Transport and Works Act 1992 (\*)**

Yes  No

**D10: Provide reason why Transport and Works Act Order is not required for your CfD Unit (\*)**

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

# 2.2 Application (generic) – system view

## 5. Applicable planning consents tab – Transport and Works Act Order (2/4) only applicable to Wales and Offshore Wind

– Transport and Works Act Order

D9: Is a Transport and Works Act Order one of your applicable planning consents? This is the Order under section 3 of the Transport and Works Act 1992 (\*)

Yes  No

D9a: It is a requirement of Applicants to provide a copy of their Transport and Works Act Order. Please upload a copy of your Transport and Works Act Order (\*)

D9b: Should your Transport and Works Act Order require any clarification, please provide it here

D11: Where available, provide Ordnance Survey Map Reference from your Transport and Works Act Order

D11a: Where available, indicate the reference of the document where the Ordnance Survey Map Reference

D11b: Where available, indicate the page number of the document where the Ordnance Survey Referen

D11c: Provide any further comments on your Ordnance Survey Map Reference which will help us to identify CfD Application

D12: Where available, provide Postcode from your Transport and Works Act Order

D12a: Where available, indicate the reference of the document where the postcode can be found

D12b: Where available, indicate the page number of the document where the postcode can be found

D12c: Provide any further comments on your Postcode which will help us to identify this as the location of your CfD Application

If D9 – Yes is selected D9a – D16c will appear.  
Click [here](#) for an example of locational data

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

# 2.2 Application (generic) – system view

## 5. Applicable planning consents tab – Transport and Works Act Order (3/4) only applicable to Wales and Offshore Wind

D13: Where available, provide the Northerly Grid Coordinates (WGS84 format) from your Transport and Works Act Order

D13a: Where available, provide the Easterly Grid Coordinates (WGS84 format) from your Transport and Works Act Order

D13b: Where available, provide the Southerly Grid Coordinates (WGS84 format) from your Transport and Works Act Order

D13c: Where available, provide the Westerly Grid Coordinates (WGS84 format) from your Transport and Works Act Order

D13d: Where available, indicate the reference of the document where the Grid Coordinates (WGS84 format) can be found

D13e: Where available, indicate the page number of the document where the Grid Coordinates (WGS84 format) can be found

If D9 – Yes is selected D9a – D16c will appear.  
Click [here](#) for an example of locational data

D13f: Provide any further comments on your Grid Coordinates (WGS84 format) which will help us to identify this as the location of your CfD Application

D14: Where available, provide the capacity in MW from your Transport and Works Act Order

D14a: Where available, indicate the reference of the document where the capacity in MW can be found

D14b: Where available, indicate the page number of the document where the capacity in MW can be found

D14c: Provide any further comments on the Transport and Works Act Order, which will help us validate the capacity in MW of your CfD Application

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 2.2 Application (generic) – system view

### 5. Applicable planning consents tab – Transport and Works Act Order (4/4) only applicable to Wales and Offshore Wind

D15: Where available, provide the date (in format DD/MM/YYYY) by when the applicable planning consents expire in your Transport and Works Act Order

D15a: Where available, indicate the reference of the document where the date by when the applicable planning consents expire can be found

D15b: Where available, indicate the page number of the document where the date by when the applicable planning consents expire can be found

D15c: Provide any further comments on the Transport and Works Act Order, which will help us confirm that it has not expired

If D9 – Yes is selected D9a – D16c will appear.  
Click [here](#) for an example of locational data

D16: Where available, provide technology type from your Transport and Works Act Order

D16a: Where available, indicate the reference of the document where the technology type can be found

D16b: Where available, indicate the page number of the document where the technology type can be found

D16c: Provide any further comments on your technology type which will help us to identify this as the technology in your CfD Application

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 2.2 Application (generic) – system view

### 5. Applicable planning consents tab – Planning permission (1/6)

– Planning permission

**D17: Is a Planning Permission one of your applicable planning consents?**

In England and Wales this is permission under Part 3 of the Town and Country Planning Act 1990.

In Scotland this is permission under Part 3 of the Town and Country Planning (Scotland) Act 1997. (\*)

Yes  No

**D18: Provide reason why Planning Permission is not required for your CfD Unit (\*)**

If 'No' is selected in D17, the field D18 will appear.

See the next slide for the questions that appear if 'Yes' is selected in the D17 field.

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 2.2 Application (generic) – system view

### 5. Applicable planning consents tab – Planning permission (2/6)

D17a: It is a requirement of Applicants to provide a copy of their Planning Permission. Please upload a copy of your Planning Permission. (\*)

Browse...

D17b: Should your Planning Permission require any clarification, please provide it here

D19: Where available, provide Ordnance Survey Map Reference from your Planning Permission

D19a: Where available, indicate the reference of the document where the Ordnance Survey Map Reference can be found

D19b: Where available, indicate the page number of the document where the Ordnance Survey Map Reference can be found

D19c: Provide any further comments on your Ordnance Survey Map Reference which will help us to identify this as the location of your CfD Application

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 2.2 Application (generic) – system view

### 5. Applicable planning consents tab – Planning permission (3/6)

D20: Where available, provide Postcode from your Planning Permission

D20a: Where available, indicate the reference of the document where the postcode can be found

D20b: Where available, indicate the page number of the document where the postcode can be found

D20c: Provide any further comments on your Postcode which will help us to identify this as the location of your CfD Application

D21: Where available, provide the Northerly Grid Coordinates (WGS84 format) from your Planning Permission

D21a: Where available, provide the Easterly Grid Coordinates (WGS84 format) from your Planning Permission

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 2.2 Application (generic) – system view

### 5. Applicable planning consents tab – Planning permission (4/6)

D21b: Where available, provide the Southerly Grid Coordinates (WGS84 format) from your Planning Permission

D21c: Where available, provide the Westerly Grid Coordinates (WGS84 format) from your Planning Permission

D21d: Where available, indicate the reference of the document where the Grid Coordinates (WGS84 format) can be found

D21e: Where available, indicate the page number of the document where the Grid Coordinates (WGS84 format) can be found

D21f: Provide any further comments on your Grid Coordinates (WGS84 format) which will help us to identify this as the location of your CfD Application

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 2.2 Application (generic) – system view

### 5. Applicable planning consents tab – Planning permission (5/6)

D22: Where available, provide the capacity in MW from your Planning Permission

D22a: Where available, indicate the reference of the document where the capacity in MW can be found

D22b: Where available, indicate the page number of the document where the capacity in MW can be found

D22c: Provide any further comments on the Planning Permission, which will help us validate the capacity in MW of your CfD Application

D23: Where available, provide the date (in format DD/MM/YYYY) by when the applicable planning consents expire in your Planning Permission

D23a: Where available, indicate the reference of the document where the date by when the applicable planning consents expire can be found

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 2.2 Application (generic) – system view

### 5. Applicable planning consents tab – Planning permission (6/6)

D23b: Where available, indicate the page number of the document where the date by when the applicable planning consents expire can be found

D23c: Provide any further comments on the Planning Permission, which will help us confirm that it has not expired

D24: Where available, provide technology type from your Planning Permission

D24a: Where available, indicate the reference of the document where the technology type can be found

D24b: Where available, indicate the page number of the document where the technology type can be found

D24c: Provide any further comments on your technology type which will help us to identify this as the technology in your CfD Application

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 2.2 Application (generic) – system view

### 5. Applicable planning consents tab – Section 36 (1/6)

– Section 36

D25: Is a Section 36 one of your applicable planning consents? This is Consent under section 36 of the Electricity Act 1989 (\*)

Yes  No

D26: Provide reason why Section 36 is not required for your CfD Unit (\*)

If 'No' is selected in D25, the field D26 will appear.

See the next slide for the questions that appear if 'Yes' is selected in the D25 field.

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 2.2 Application (generic) – system view

### 5. Applicable planning consents tab – Section 36 (2/6)

D25a: It is a requirement of Applicants to provide a copy of their Section 36. Please upload a copy of your Section 36. (\*)

Browse...

D25b: Should your Section 36 require any clarification, please provide it here

D27: Where available, provide Ordnance Survey Map Reference from your Section 36

D27a: Where available, indicate the reference of the document where the Ordnance Survey Map Reference can be found

D27b: Where available, indicate the page number of the document where the Ordnance Survey Map Reference can be found

D27c: Provide any further comments on your Ordnance Survey Map Reference which will help us to identify this as the location of your CfD Application

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 2.2 Application (generic) – system view

### 5. Applicable planning consents tab – Section 36 (3/6)

D28: Where available, provide Postcode from your Section 36

D28a: Where available, indicate the reference of the document where the postcode can be found

D28b: Where available, indicate the page number of the document where the postcode can be found

D28c: Provide any further comments on your Postcode which will help us to identify this as the location of your CfD Application

D29: Where available, provide the Northerly Grid Coordinates (WGS84 format) from your Section 36

D29a: Where available, provide the Easterly Grid Coordinates (WGS84 format) from your Section 36

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 2.2 Application (generic) – system view

### 5. Applicable planning consents tab – Section 36 (4/6)

D29b: Where available, provide the Southerly Grid Coordinates (WGS84 format) from your Section 36

D29c: Where available, provide the Westerly Grid Coordinates (WGS84 format) from your Section 36

D29d: Where available, indicate the reference of the document where the Grid Coordinates (WGS84 format) can be found

D29e: Where available, indicate the page number of the document where the Grid Coordinates (WGS84 format) can be found

D29f: Provide any further comments on your Grid Coordinates (WGS84 format) which will help us to identify this as the location of your CfD Application

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 2.2 Application (generic) – system view

### 5. Applicable planning consents tab – Section 36 (5/6)

D30: Where available, provide the capacity in MW from your Section 36

D30a: Where available, indicate the reference of the document where the capacity in MW can be found

D30b: Where available, indicate the page number of the document where the capacity in MW can be found

D30c: Provide any further comments on the Section 36, which will help us validate the capacity in MW of your CfD Application

D31: Where available, provide the date (in format DD/MM/YYYY) by when the applicable planning consents expire in your Section 36

D31a: Where available, indicate the reference of the document where the date by when the applicable planning consents expire can be found

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 2.2 Application (generic) – system view

### 5. Applicable planning consents tab – Section 36 (6/6)

D31b: Where available, indicate the page number of the document where the date by when the applicable planning consents expire can be found

D31c: Provide any further comments on the Section 36, which will help us confirm that it has not expired

D32: Where available, provide technology type from your Section 36

D32a: Where available, indicate the reference of the document where the technology type can be found

D32b: Where available, indicate the page number of the document where the technology type can be found

D32c: Provide any further comments on your technology type which will help us to identify this as the technology in your CfD Application

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 2.2 Application (generic) – system view

### 5. Applicable planning consents tab – Marine Licence (1/6)

#### – Marine Licence

D33: Is a Marine Licence one of your applicable planning consents?

This is Under Part 4 of the Marine and Coastal Act 2009 or in Scottish marine area (section 1 of the Marine (Scotland) Act 2010) Part 4 of the Marine (Scotland) Act 2010 (\*)

Yes  No

D34: Provide reason why Marine Licence is not required for your CfD Unit (\*)

If 'No' is selected in D25, the field D26 will appear.

See the next slide for the questions that appear if 'Yes' is selected in the D25 field.

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 2.2 Application (generic) – system view

### 5. Applicable planning consents tab – Marine Licence (2/6)

D33a: It is a requirement of Applicants to provide a copy of their Marine Licence. Please upload a copy of your Marine Licence. (\*)

D33b: Should your Marine Licence require any clarification, please provide it here

D35: Where available, provide Ordnance Survey Map Reference from your Marine Licence

D35a: Where available, indicate the reference of the document where the Ordnance Survey Map Reference can be found

D35b: Where available, indicate the page number of the document where the Ordnance Survey Map Reference can be found

D35c: Provide any further comments on your Ordnance Survey Map Reference which will help us to identify this as the location of your CfD Application

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 2.2 Application (generic) – system view

### 5. Applicable planning consents tab – Marine Licence (3/6)

D36: Where available, provide Postcode from your Marine Licence

D36a: Where available, indicate the reference of the document where the postcode can be found

D36b: Where available, indicate the page number of the document where the postcode can be found

D36c: Provide any further comments on your Postcode which will help us to identify this as the location of your CFD Application

D37: Where available, provide the Northerly Grid Coordinates (WGS84 format) from your Marine Licence

D37a: Where available, provide the Easterly Grid Coordinates (WGS84 format) from your Marine Licence

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 2.2 Application (generic) – system view

### 5. Applicable planning consents tab – Marine Licence (4/6)

D37b: Where available, provide the Southerly Grid Coordinates (WGS84 format) from your Marine Licence

D37c: Where available, provide the Westerly Grid Coordinates (WGS84 format) from your Marine Licence

D37d: Where available, indicate the reference of the document where the Grid Coordinates (WGS84 format) can be found

D37e: Where available, indicate the page number of the document where the Grid Coordinates (WGS84 format) can be found

D37f: Provide any further comments on your Grid Coordinates (WGS84 format) which will help us to identify this as the location of your CfD Application

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 2.2 Application (generic) – system view

### 5. Applicable planning consents tab – Marine Licence (5/6)

D38: Where available, provide the capacity in MW from your Marine Licence

D38a: Where available, indicate the reference of the document where the capacity in MW can be found

D38b: Where available, indicate the page number of the document where the capacity in MW can be found

D38c: Provide any further comments on the Marine Licence, which will help us validate the capacity in MW of your CfD Application

D39: Where available, provide the date (in format DD/MM/YYYY) by when the applicable planning consents expire in your Marine Licence

D39a: Where available, indicate the reference of the document where the date by when the applicable planning consents expire can be found

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 2.2 Application (generic) – system view

### 5. Applicable planning consents tab – Marine Licence (6/6)

D39b: Where available, indicate the page number of the document where the date by when the applicable planning consents expire can be found

D39c: Provide any further comments on the Marine Licence, which will help us confirm that it has not expired

D40: Where available, provide technology type from your Marine Licence

D40a: Where available, indicate the reference of the document where the technology type can be found

D40b: Where available, indicate the page number of the document where the technology type can be found

D40c: Provide any further comments on your technology type which will help us to identify this as the technology in your CfD Application

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

# 2.2 Application (generic) – system view

## 6. Connection Agreement(s) tab

There are three types of connection that can be selected in the Connection Agreement tab: **Direct Connection**, **Partial Connection** and **Islanded CfD Unit**. (“Islanded CfD Unit” is a historic term for Private Wire network. It does not refer to RIW)

NB Where the connection agreement specifies a technology, which is different from the technology on the application, evidence must be provided to clarify this.

Select the buttons below to see the system view for each connection type:

### E1: Type of Connection (\*)

Confirm type of connection that applies to the CfD Unit?

Select the ‘Type of Connection’ from the dropdown menu.

[Direct Connection Agreements](#)

[Partial Connection](#)

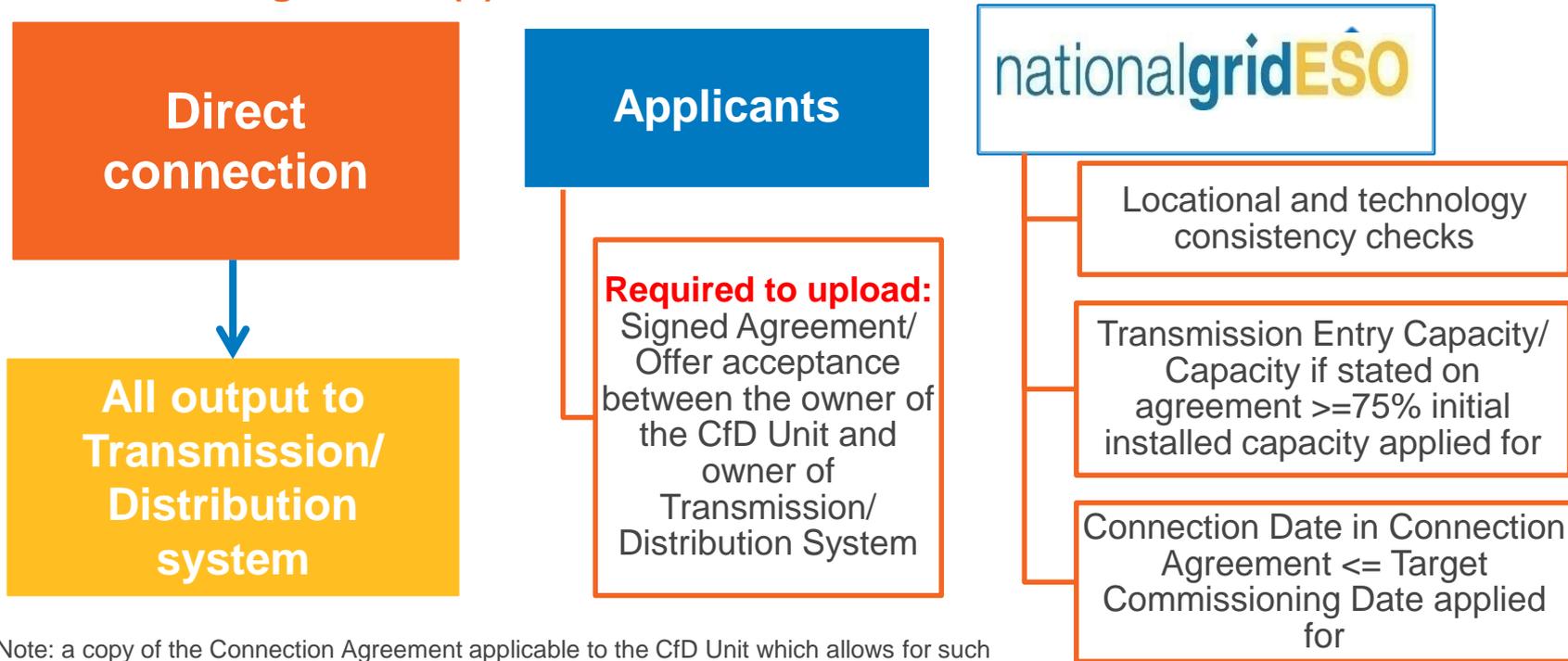
[Islanded CfD unit](#)

NB. Where required, a copy of the Connection Agreement applicable to the CfD Unit which allows for such connection to the relevant Transmission System, Distribution System or Private Wire Network. This agreement needs to be signed and dated to ensure validity during the Application Window.



## 2.2 Application (generic)

### 6. Connection Agreement(s) – Direct Connection - Qualification



Note: a copy of the Connection Agreement applicable to the CfD Unit which allows for such connection to the relevant Transmission System, Distribution System or Private Wire Network. This agreement needs to be signed and dated to ensure validity during the Application Window.



## 2.2 Application (generic) – system view

### 6. Connection Agreement(s) tab – Direct Connection

For more information on the Connection Agreement requirements, see the relevant slides in the [Qualification section](#).

Select the buttons below to see the system view for each connection type:

**E1: Type of Connection (\*)**

Confirm type of connection that applies to the CfD Unit?

**E2: Confirm if transmission or distribution connection (\*)**

Select Transmission or Distribution from the drop down menu.

Direct & Transmission

Direct & Distribution



## 2.2 Application (generic) – system view

### 6. Connection Agreement(s) tab – Direct Connection

NB E3, E4 and E5 will appear for Offshore Wind Technology only.

#### E3: Does single metering or apportioned metering apply for Phase 1? (\*)

Do you intend to treat phases as individual projects for the purposes of metering ('single metering') or use an apportionment methodology to assign net generation to each individual phase ('apportioned metering')

#### E4: Does single metering or apportioned metering apply for Phase 2? (\*)

#### E5: Does single metering or apportioned metering apply for Phase 3? (\*)

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 2.2 Application (generic) – system view

### 6. Connection Agreement(s) tab – Direct & Transmission (1/4)

E7: Please provide a copy of connection agreement(s)/countersigned offer(s) between Applicant and the Transmission System Operator. (\*)

E16: Where available, provide location from your connection agreement(s)/countersigned offer(s)

E16a: Where available, indicate the reference of the document where the location can be found

E16b: Where available, indicate the page number of the document where the location can be found

E16c: Provide any further comments on your location which will help us to identify this as the location of your CfD Application

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 2.2 Application (generic) – system view

### 6. Connection Agreement(s) tab – Direct & Transmission (2/4)

E17: Where available, provide the Transmission Entry Capacity in MW from your connection agreement(s)/countersigned offer(s)

E17a: Where available, indicate the reference of the document where the Transmission Entry Capacity in MW can be found

E17b: Where available, indicate the page number of the document where the Transmission Entry Capacity in MW can be found

E17c: Provide any further comments on the connection agreement(s)/countersigned offer(s) which will help us validate the Transmission Entry Capacity in MW of your CfD Application

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 2.2 Application (generic) – system view

### 6. Connection Agreement(s) tab – Direct & Transmission (3/4)

**E18: Where available, provide the Connection Date (in format DD/MM/YYYY) from your connection agreement(s)/countersigned offer(s)**

**E18a: Where available, indicate the reference of the document where the Connection Date can be found**

**E18b: Where available, indicate the page number of the document where the Connection Date can be found**

**E18c: Provide any further comments on the connection agreement(s)/countersigned offer(s) which will help us validate the Connection Date of your CfD Application**

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 2.2 Application (generic) – system view

### 6.Connection Agreement(s) tab – Direct & Transmission (4/4)

E19: Where available, provide technology type from your connection agreement(s)/countersigned offer(s)

E19a: Where available, indicate the reference of the document where the technology type can be found

E19b: Where available, indicate the page number of the document where the technology type can be found

E19c: Provide any further comments on your technology type which will help us to identify this as the technology in your CfD Application

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

# 2.2 Application (generic) – system view

## 6.Connection Agreement(s) tab – Direct & Distribution (1/4)

NB Please refer to definitions in Schedule 1 of the Allocation Framework

NB – E6 Only asked if Direct Distribution selected.

E6: Are you intending to be 'licence exempt embedded' or 'licence connected'? (Please refer to definitions in Schedule 1 of the Allocation Framework) (\*)

E8: Please provide a copy of connection agreement(s)/countersigned offer(s) between Applicant and the Distribution Network Operator. (\*)

E20: Where available, provide location from your connection agreement(s)/countersigned offer(s)

E20a: Where available, indicate the reference of the document where the location can be found

E20b: Where available, indicate the page number of the document where the location can be found

E20c: Provide any further comments on your location which will help us to identify this as the location of your CfD Application



## 2.2 Application (generic) – system view

### 6.Connection Agreement(s) tab – Direct & Distribution (2/4)

E21: Where available, provide the Capacity in MW from your connection agreement(s)/countersigned offer(s)

E21a: Where available, indicate the reference of the document where the Capacity in MW can be found

E21b: Where available, indicate the page number of the document where the Capacity in MW can be found

E21c: Provide any further comments on the connection agreement(s)/countersigned offer(s) which will help us validate the Capacity in MW of your CfD Application

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 2.2 Application (generic) – system view

### 6.Connection Agreement(s) tab – Direct & Distribution (3/4)

**E22: Where available, provide the Connection Date (in format DD/MM/YYYY) from your connection agreement(s)/countersigned offer(s)**

**E22a: Where available, indicate the reference of the document where the Connection Date can be found**

**E22b: Where available, indicate the page number of the document where the Connection Date can be found**

**E22c: Provide any further comments on the connection agreement(s)/countersigned offer(s) which will help us validate the Connection Date of your CfD Application**

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 2.2 Application (generic) – system view

### 6. Connection Agreement(s) tab – Direct & Distribution (4/4)

NB Where the connection agreement specifies a technology, which is different from the technology on the application, evidence must be provided to clarify this.

**E23: Where available, provide technology type from your connection agreement(s)/countersigned offer(s)**

**E23a: Where available, indicate the reference of the document where the technology type can be found**

**E23b: Where available, indicate the page number of the document where the technology type can be found**

**E23c: Provide any further comments on your technology type which will help us to identify this as the technology in your CfD Application**

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

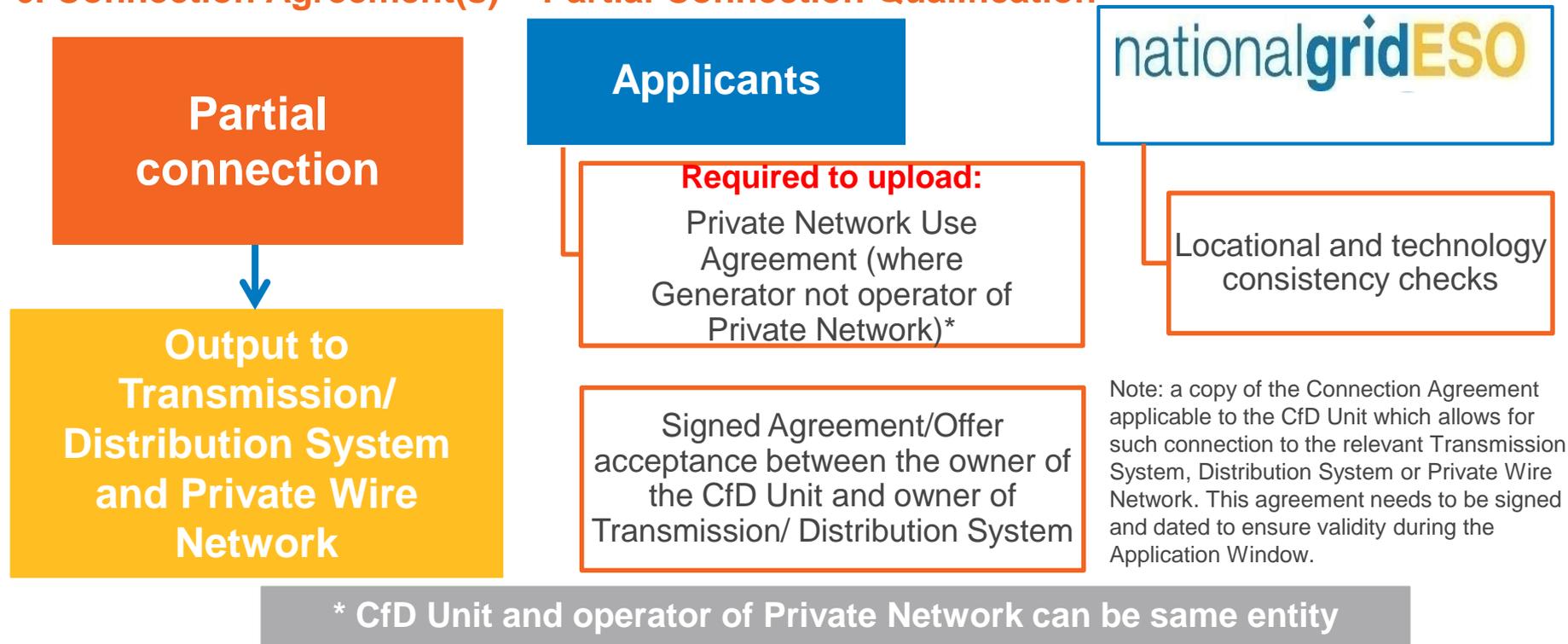
7. Sealed Bids

8. Allocation

9. Notification

## 2.2 Application (generic) – system view

### 6. Connection Agreement(s) – Partial Connection Qualification



## 2.2 Application (generic) – system view

### 6. Connection Agreement(s) tab – Partial Connection

Select the buttons below to see the system view for each connection type:

**E1: Type of Connection (\*)**

Confirm type of connection that applies to the CfD Unit?

Partial

**E2: Confirm if transmission or distribution connection (\*)**

-

Select Transmission or Distribution from the drop down menu.

**E9: Are you or will you be the operator of Private Wire network to which the CfD Unit exports or is to export electricity? (\*)**

Yes  No

**E9: Yes, the operator of the Private Wire Network**

**E9: No, not the operator of the Private Wire Network**

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 2.2 Application (generic) – system view

### 6. Connection Agreement(s) tab – Partial & Yes to E9 – operator of Private Wire Network (1/2)

E9: Are you or will you be the operator of Private Wire network to which the CfD Unit exports or is to export electricity? (\*)

Yes  No

E10: Please provide a copy of connection agreement(s)/countersigned offer(s) between Applicant and the Transmission System Operator or Relevant Distribution Network Operator. (\*)

Browse...

E24: Where available, provide location from your connection agreement(s)/countersigned offer(s)

E24a: Where available, indicate the reference of the document where the location can be found

E24b: Where available, indicate the page number of the document where the location can be found

E24c: Provide any further comments on your location which will help us to identify this as the location of your CfD Application

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 2.2 Application (generic) – system view

### 6. Connection Agreement(s) tab – Partial & Yes to E9 – operator of Private Wire Network (2/2)

NB Where the connection agreement specifies a technology, which is different from the technology on the application, evidence must be provided to clarify this.

**E25: Where available, provide technology type from your connection agreement(s)/countersigned offer(s)**

-

**E25a: Where available, indicate the reference of the document where the technology type can be found**

**E25b: Where available, indicate the page number of the document where the technology type can be found**

**E25c: Provide any further comments on your technology type which will help us to identify this as the technology in your CfD Application**

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 2.2 Application (generic) – system view

### 6. Connection Agreement(s) tab – Partial & No to E9 – I am not the operator of Private Wire Network (1/4)

**E11: Please provide a copy of connection agreement(s)/countersigned offer(s) between Operator of Private Wire Network and Transmission System Operator or Relevant Distribution Network Operator. (\*)**

 Browse...

**E12: Please provide a copy of the Private Network Use Agreement between Applicant and Operator of Private Wire Network. (\*)**

 Browse...

**E26: Where available, provide location from your connection agreement(s)/countersigned offer(s)**

**E26a: Where available, indicate the reference of the document where the location can be found**

**E26b: Where available, indicate the page number of the document where the location can be found**

**E26c: Provide any further comments on your location which will help us to identify this as the location of your CfD Application**

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 2.2 Application (generic) – system view

### 6. Connection Agreement(s) tab – Partial & No to E9 – I am not the operator of Private Wire Network (2/4)

NB Where the connection agreement specifies a technology, which is different from the technology on the application, evidence must be provided to clarify this.

**E27: Where available, provide technology type from your connection agreement(s)/countersigned offer(s)**

**E27a: Where available, indicate the reference of the document where the technology type can be found**

**E27b: Where available, indicate the page number of the document where the technology type can be found**

**E27c: Provide any further comments on your technology type which will help us to identify this as the technology in your CfD Application**

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 2.2 Application (generic) – system view

### 6. Connection Agreement(s) tab – Partial & No to E9 – I am not the operator of Private Wire Network (3/4)

**E28: Where available, provide location from your Private Network Use Agreement**

**E28a: Where available, indicate the reference of the document where the location can be found**

**E28b: Where available, indicate the page number of the document where the location can be found**

**E28c: Provide any further comments on your location which will help us to identify this as the location of your CfD Application**

1. Pre-  
Application  
activities

2.  
Application

3.  
Qualification

4.  
Reviews

5.  
Appeals

6.  
Valuation

7.  
Sealed Bids

8.  
Allocation

9.  
Notification

## 2.2 Application (generic) – system view

### 6. Connection Agreement(s) tab – Partial & No to E9 – I am not the operator of Private Wire Network (4/4)

**E29: Where available, provide technology type from your Private Network Use Agreement**

**E29a: Where available, indicate the reference of the document where the technology type can be found**

**E29b: Where available, indicate the page number of the document where the technology type can be found**

**E29c: Provide any further comments on your technology type which will help us to identify this as the technology in your CfD Application**

1. Pre-  
Application  
activities

2.  
Application

3.  
Qualification

4.  
Reviews

5.  
Appeals

6.  
Valuation

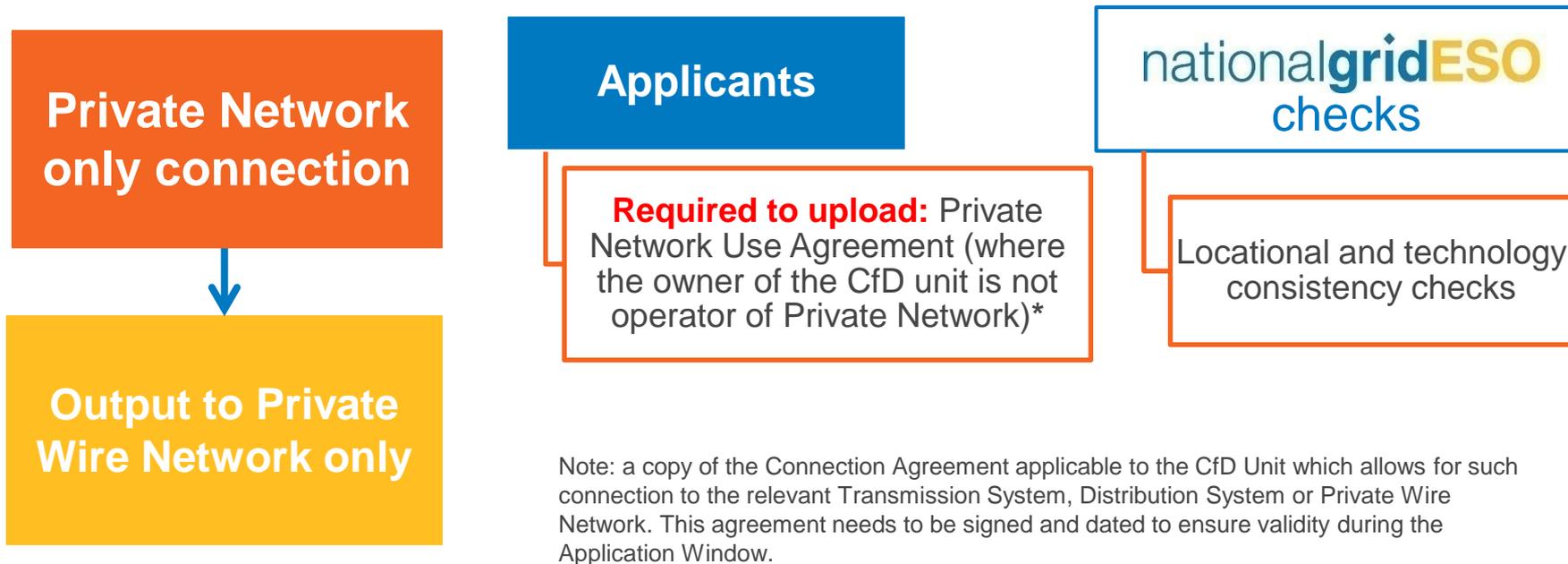
7.  
Sealed Bids

8.  
Allocation

9.  
Notification

## 2.2 Application (generic) – system view

### 6. Connection Agreement(s) – Islanded CfD Unit - Qualification



\* The owner of the CfD unit and operator of Private Network can be same entity



## 2.2 Application (generic) – system view

### 6. Connection Agreement(s) tab – Islanded CfD Unit (1/4)

NB E1. will refer to “Islanded CfD Unit” this is a historic term and has the same meaning as Private Wire network.

#### Connection Agreement(s)

##### E1: Type of Connection (\*)

Confirm type of connection that applies to the CfD Unit?

Islanded CfD Unit



If you are the Operator of the Private Wire Network, you only need answer E9 and to answer the declaration in Question E13

##### E9: Are you or will you be the operator of Private Wire network to which the CfD Unit exports or is to export electricity? (\*)

Yes  No

##### E13: Where an Islanded CfD Unit, please confirm that no Direct connection or Partial connection currently or will exist in the future (\*)

By selecting the response "Yes" the Applicant is making this declaration

Yes  No

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

# 2.2 Application (generic) – system view

## 6. Connection Agreement(s) tab – Islanded CfD Unit (2/4)

If 'No' is selected in E9, the following fields will appear.

**E1: Type of Connection (\*)**

Confirm type of connection that applies to the CfD Unit?

Islanded CfD Unit

NB RIW Applicants do not have the option of Islanded CfD unit.

**E9: Are you or will you be the operator of Private Wire network to which the CfD Unit exports or is to export electricity? (\*)**

Yes  No

**E12: Please provide a copy of the Private Network Use Agreement between Applicant and Operator of Private Wire Network. (\*)**

**E13: Where an Islanded CfD Unit, please confirm that no Direct connection or Partial connection currently or will exist in the future (\*)**

By selecting the response "Yes" the Applicant is making this declaration

Yes  No

NB E13 is not available to RIW Applicants.



## 2.2 Application (generic) – system view

### 6. Connection Agreement(s) tab – Islanded CfD Unit (3/4)

The following fields only appears if 'No' is selected in E9:

**E28: Where available, provide location from your Private Network Use Agreement**

**E28a: Where available, indicate the reference of the document where the location can be found**

**E28b: Where available, indicate the page number of the document where the location can be found**

**E28c: Provide any further comments on your location which will help us to identify this as the location of your CfD Application**

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 2.2 Application (generic) – system view

### 6. Connection Agreement(s) tab – Islanded CfD Unit (4/4)

The following fields only appears if 'No' is selected in E9:

NB Where the connection agreement specifies a technology, which is different from the technology that the application, evidence must be provided to clarify this.

**E29: Where available, provide technology type from your Private Network Use Agreement**

**E29a: Where available, indicate the reference of the document where the technology type can be found**

**E29b: Where available, indicate the page number of the document where the technology type can be found**

**E29c: Provide any further comments on your technology type which will help us to identify this as the technology in your CfD Application**

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 2.2 Application (generic) – system view

### 6. Connection agreement Checklist - Qualification

#### Acceptable evidence

- ✓ Countersigned agreement with Transmission System Operator
- ✓ Distribution Offer signed by Distribution Network Operator (DNO) and Generator's signed offer acceptance
- ✓ Evidence of Distribution Offer from DNO and Generator's signed offer acceptance

#### Unacceptable evidence

- ✗ Unsigned Distribution Offer acceptance by Generator
- ✗ No evidence of Distribution Offer from DNO

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

# 2.2 Application (generic) – system view

## 7. CfD Contract tab (1/2)

**F1: Which CfD Agreement are you entering into? (\*)**

- 
- Generic
- Private Wire
- Phased (Single metering)
- Phased (Apportioned metering)
- Unincorporated Joint Venture Agreement

Select 1 from the drop down list. Options are varied dependent upon your technology. Here all options are listed.

**F2: Have you agreed to use the Standard Terms or agreed a Modification Agreement with the LCCC? (\*)**

- 
- Standard Terms
- Modification Agreement

Select 'Standard Terms' or 'Modification Agreement' from the dropdown menu. See the next slide for the fields that appear for each option.



## 2.2 Application (generic) – system view

### 7. CfD Contract tab – Standard Terms or Modification Agreement (2/2)

#### Standard Terms

**F2: Have you agreed to use the Standard Terms or agreed a Modification Agreement with the LCCC? (\*)**

Standard Terms



**F3: Please provide version number of Standard Terms (\*)**

#### Modification Agreement

**F2: Have you agreed to use the Standard Terms or agreed a Modification Agreement with the LCCC? (\*)**

Modification Agreement



**F4: Please provide reference number for Modification Agreement (\*)**

**F5: Please provide date that Modification Agreement was agreed with LCCC (\*)**

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

# 2.2 Application (generic) – system view

## 8. Supply Chain Plan tab (only for initial installed capacity of 300MW or above)

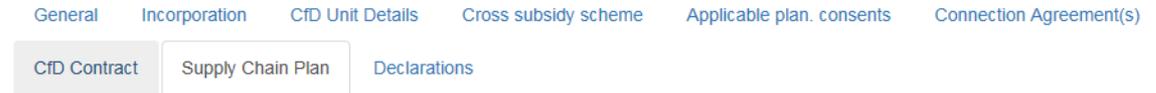
If your capacity entered in the CfD Unit Details Tab, requires you to have a supply chain plan certificate G1 will appear as below



### Supply Chain Plan

G1: Please provide copy of Approval Certificate for Supply Chain Plan (\*)

If the CfD unit capacity does not require a certificate to be uploaded, no questions will be visible.



### Supply Chain Plan

*Nothing to fill-in currently on this tab*



## 2.2 Application (generic) – system view

### 9. Declarations tab (1/3)

**H1: Each Applicant must declare that the Application is one to which an allocation process applies in the allocation round (\*)**

By selecting the response "Yes" the Applicant is making the declaration above.

Yes  No

**H2: Each Applicant must declare that the Application is not an excluded application. (\*)**

By selecting the response "Yes" the Applicant is making the declaration above.

Yes  No

**H3: Each Applicant must declare that the Application meets the general qualification requirements set out or referred to in Chapter 3 of the Allocation Regulations, as amended or modified by the Allocation Framework (\*)**

By selecting the response "Yes" the Applicant is making the declaration above.

Yes  No

**H4: Each Applicant must declare that the Application, where applicable, meets the additional qualification requirements set out or referred to in Chapter 4 of the Allocation Regulations, as amended or modified by the Allocation Framework (\*)**

By selecting the response "Yes" the Applicant is making the declaration above.

Yes  No

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

# 2.2 Application (generic) – system view

## 9. Declarations tab (2/3)

**H5: Each Applicant must declare that included in the form which comprises part of the Application is the information necessary to enable the “delivery body” to: make the determination under paragraph (1) of Regulation 17 of the Allocation Regulations; and give the “CFD notification” were the Application to be a “successful application”, including information listed or referred to in Schedule 1 of the Allocation Regulations. (\*)**

By selecting the response "Yes" the Applicant is making the declaration above.

Yes  No

**H6: Each Applicant must declare that in all material respects, all information provided with or in the Application is true and correct (and to the extent that a copy of a document has been provided, that it is a true and correct copy). (\*)**

By selecting the response "Yes" the Applicant is making the declaration above.

Yes  No

**H7 : Please upload a process flow diagram demonstrating that the CfD Unit will meet the Physical Separation Requirement defined in the most recently published version of the CfD Standard Terms and Conditions. It should show that at all times the Synthesis Chamber and Combustion Chamber will be separated by a conduct or pipe:**

- a. which will be used for transporting the Advanced Fuel produced in the Synthesis Chamber to the Combustion Chamber;
- b. which will include at least one connection that allows for sampling of the Advanced Fuel;
- c. within which no combustion will occur; and
- d. which has an operating Compression Unit or Purification Unit within it or connected to it.

By uploading the diagram, the applicant confirms they intend to satisfy the Physical Separation Requirement defined in the most recently published version of the CfD Standard Terms and Conditions. (\*)

Browse...

ACT  
Customers  
only

If your Technology Type is **ACT**, remember to upload your process flow diagram. [Click here for further information.](#)



## 2.2 Application – system view

### 9. Declarations tab – Dedicated Biomass & Energy from Waste Applicants only (3/3)

H8 - Dedicated Biomass (i.e. those technologies which must deploy with CHP) must declare that they are aware of the requirement in CfD contract terms to accredit under the CHPQA standard and to deliver a valid CHPQA certificate to LCCC as and when required.

**H6: Each Applicant must declare that in all material respects, all information provided with or in the Application is true and correct (and to the extent that a copy of a document has been provided, that it is a true and correct copy). (\*)**

By selecting the response "Yes" the Applicant is making the declaration above.

Yes  No

**H8: Each Applicant must declare that they are aware that the CfD Agreement requires a valid CHPQA Certificate in respect of the project to be delivered to the CfD Counterparty as a 'Further Condition Precedent'. (\*)**

By selecting the response "Yes" the Applicant is making the declaration above.

Yes  No

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 2.3 Application (generic) checklist

### Have you completed the following:

---

Name, address and contact details of Applicant, Agent (if non GB), entity to enter into CfD Contract, entity receiving notices under CfD Contract

---

Project name and location

---

Initial installed capacity in Megawatts (MW to 2 decimal places) (Gross minus parasitic loads minus electrical losses)

---

Target Commissioning Date (TCD) and start of Target Commissioning Window (TCW)

---

Technology specific questions

---

Any other information that LCCC require for the CfD contract

---

### Contract terms

---

Identify type of CfD Contract and whether on standard or modified terms (+ for modifications, date entered into with LCCC)

---

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 2.4 Application – Additional Technology Information

In the previous section, we covered the mandatory fields in the Application form for all technology types. This section contains additional information for technologies.

Select from the buttons below to find out more:

**2.4.1 Advanced  
Conversion Technologies  
(ACT)**

**2.4.2 Remote Island Wind  
(>5MW) (RIW)**

**2.4.3 Offshore Wind**

1. Pre-  
Application  
activities

2.  
Application

3.  
Qualification

4.  
Reviews

5.  
Appeals

6.  
Valuation

7.  
Sealed Bids

8.  
Allocation

9.  
Notification

## 2.4.1 Advanced Conversion Technologies (ACT)

A generating station which generates electricity by the use of Advanced Fuel (gas or liquid formed by Gasification or Pyrolysis of Biomass or Waste).

### New Requirement for AR3

- For Advanced Conversion Technology (ACT), the Applicant must demonstrate that the CfD Unit is expected to comply with the Physical Separation Requirement (PSR) by submission of a process flow diagram in the application form.
- Requiring the *synthesis* and *combustion* processes to be separated ensures clear distinction between ACT and less advanced processes that are closer to conventional boiler technologies.



## 2.4.1 Advanced Conversion Technologies (ACT)

- BEIS have published [ACT Guidance](#) to support the ACT requirements.

### Documents



#### [Compliance with the ACT Efficiency Standard criterion in the Contract for Difference scheme](#)

PDF, 322KB, 18 pages

This file may not be suitable for users of assistive technology. [Request an accessible format.](#)



#### [Compliance with the Physical Separation Requirement in the Contract for Difference scheme](#)

PDF, 208KB, 11 pages

This file may not be suitable for users of assistive technology. [Request an accessible format.](#)

- Further details on the specific requirements for the process flow diagram can be found in the [Allocation Framework](#) for AR3 in the Schedule 4 section.



## 2.4.2 Remote Island Wind (>5MW)

**New Allocation Regulation - 27A (3) sets out conditions to qualify as Remote Island Wind:**

- (a) the CfD Unit generates electricity by the use of wind;
- (b) the CfD Unit is located on a Remote Island;
- (c) the CfD Unit is connected to the national Transmission System or to a Distribution System

*Note: RIW applications cannot be private wire only.*

### (d) Cabling Requirements

#### Transmission Connection

The Generation Circuit between the **CfD Unit** and the Main Interconnected Transmission System (MITS) consists of 50 km or more of Cabling, of which there is a minimum of 20 km of Subsea Cabling.

#### Distribution Connection

The electrical connection between its **Grid Supply Point** and the Main Interconnected Transmission System (MITS) consists of 50 km or more of Cabling, of which there is a minimum of 20 km of Subsea Cabling.



## 2.4.2 Remote Island Wind (>5MW)

Template schematic diagrams that applicants for Remote Island Wind CfD Units connecting to the national transmission system or to a distribution system in the local government areas of Comhairlenan Eilean Siar, Orkney Islands Council, and Shetland Islands Council are available on the BEIS website [here](#).

Applicants should annotate the relevant diagram and submit as part of their application to the Delivery Body to demonstrate that the project meets the condition in Regulation 27A(3)(d) of the Contracts for Difference (Allocation) Regulations 2014, as set out in Schedule 4 of the Allocation Framework.

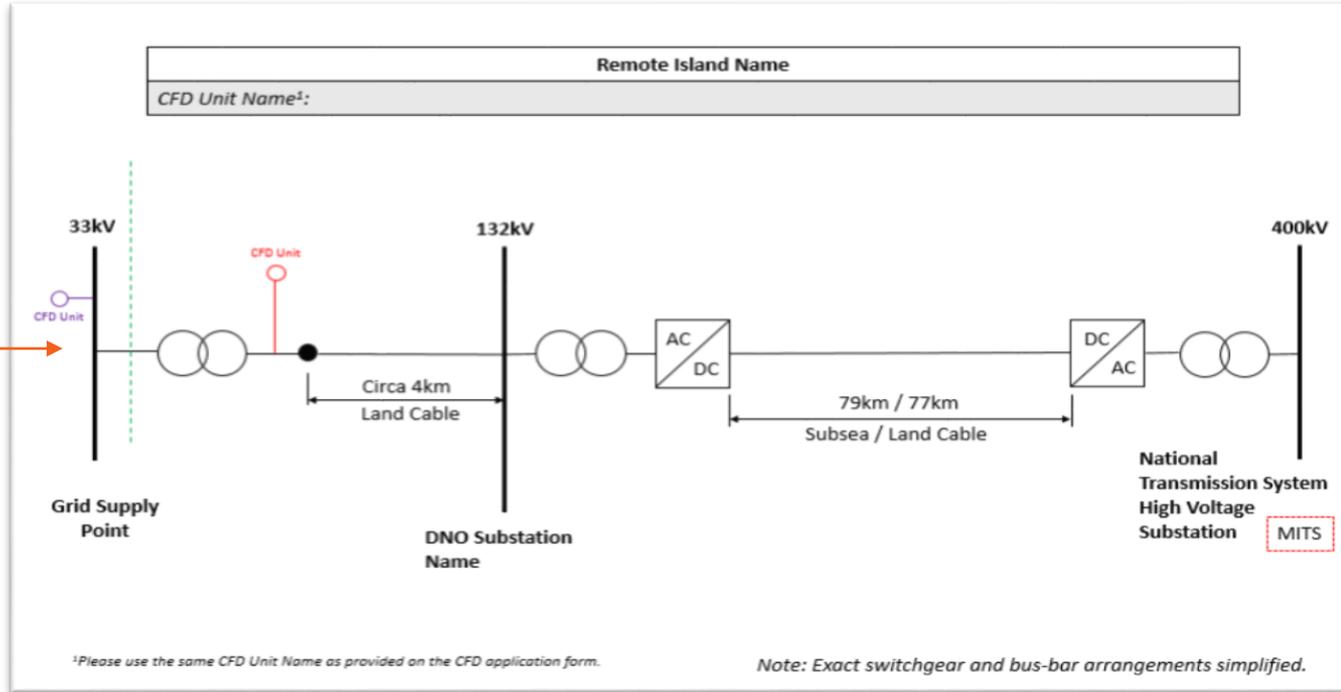
The eligibility requirements for remote island wind (RIW) are geographically neutral and applications from any geographical location will be considered. RIW applicants from other island groups will find the templates usefully illustrative of the information that the schematic diagram must provide.



# 2.4.2 Remote Island Wind (>5MW)

## Schematic Diagram Sample:

This example shows how a project connecting to the Distribution network (purple) and Transmission network (red) would show their location schematically.



1. Pre-Application activities
2. Application
3. Qualification
4. Reviews
5. Appeals
6. Valuation
7. Sealed Bids
8. Allocation
9. Notification

## 2.4.2 Remote Island Wind checklist

### Have you completed the following:

---

Included a copy of your schematic diagram showing the cable distances and CfD Unit name as stated on your application form.

---

Check that your diagram provides the evidence for demonstrating that the condition in Regulation 27A(3) (d) will be met as set out in Schedule 4 of the Allocation Framework.

---

---

---

---

---

---

---

---

---



## 2.4.3 Offshore Wind

Offshore Wind is the only technology that can have more than 1 phase. A maximum of three may be chosen. This needs to be confirmed at A10 on the General Tab of the CfD Application form.

### A9: Technology Type (\*)

Apart from Offshore Wind, technologies can only have a single phase and are therefore identified as Phase 1 in this application form

### A10: Number of CfD Phases (\*)

1
2
3

The CfD Allocation Framework for Round 3, sets out the supplemental requirements for phased offshore wind in summary these are:

- After all phases are completed, the CfD Unit will have a capacity of no greater than 1500 MW;
  - **the first phase must represent at least 25% of the total capacity of the CfD Unit after all phases are completed;**
  - the first phase is targeted to complete by a date no later than 31st March 2025 (subject to any changes to the Target Commissioning Date under Regulation 34 as a result of delays to the Allocation Process)
  - the Target Commissioning Date of the final phase is no later than 2 years after the Target Commissioning Date of the first phase.



## 2.5 Application next steps

Once the Application Window has closed, the Qualification Assessment process starts ([see Section 3. Qualification](#)). The Delivery Body will then determine whether or not an Application qualifies to take part in the Allocation Process.

The Delivery Body will determine Qualification based on the information provided by the Applicant and in accordance with the requirements of the Eligibility Regulations, Allocation Regulations and the Round specific Allocation Framework. The Allocation Framework provides a list of the checks that the Delivery Body must carry out when assessing Applications.

Failure to meet any of the Qualification Criteria and any additional information requirements will result in the Delivery Body determining you to be a Non-Qualifying Applicant. It is worth highlighting that the subsequent Non-Qualification Review and Qualification Appeal phases for Non-Qualifying Applicants **do not allow submission of additional evidence** that was not provided with the original Application.



# 3. Qualification

This section of the guidance outlines the requirements that Applicants need to adhere to, to meet the Qualification criteria. It should be used in conjunction with [Section 2. Application](#).

Select from the buttons opposite to find out more:

**3.1 Key Qualification Criteria**

**3.2 Qualification Decision**



### 3. Qualification Application pre-validation checks

The EMR Delivery body will be undertaking initial application checks on submitted applications. In order to take advantage of this additional validation service, we urge you to submit applications as soon as you are able. Please note that we cannot guarantee that we will be able to provide this validation service for applications submitted three days before the application window closes.

Our initial application validation checks will be limited to:

- *Checking that all mandatory fields have been completed;*
- *Checking that declarations are completed; and*
- *Checking that we can open the documents provided and that they are signed and dated.*

Applicants should be aware that this process is a factual validation of data and documents provided as part of the application and is being conducted outside of the requirements of the Contracts for Difference (Allocation) Regulations 2014 (as amended) and the 2019 Allocation Framework in order to assist applicants. It should therefore be noted that the validation check is not an assessment of the content of the application and is not in itself a determination of qualification by the Delivery Body.



# 3.1 Key Qualification criteria

The key Qualification criteria of the Application can be seen below. Click on the relevant icons to find out more.

**Connection Agreements  
(included in Application Section)**

**Planning Decision Notice  
(included in Application Section)**

**3.1.3 Supply Chain  
Approval Certificate if  
≥300MW**

**3.1.4 Cross-Subsidy  
Declarations**

**3.1.5 Evidence of  
Incorporation**

**3.1.6 Target  
Commissioning Date  
Window**

**3.1.7 Crown Estate  
Agreement for Lease**

**3.1.8 Offshore capacity  
requirements**

**Application Form General Requirements  
(included in Application Section)**

1. Pre-  
Application  
activities

2.  
Application

3.  
Qualification

4.  
Reviews

5.  
Appeals

6.  
Valuation

7.  
Sealed Bids

8.  
Allocation

9.  
Notification

## 3.1.3 Supply Chain Approval

Projects of **300MW and above** will need to send a copy of their Supply Chain Approval Certificate that they have received from BEIS. For further details, refer to [1.2 Supply Chain Plan](#).





Feedback

## 3.1.4 Cross-Subsidy Declarations (1/2)

Regulation 14 of the Contracts for Difference Allocation Regulation 2014 and the Round specific Allocation Framework (AF) set out where an Applicant is excluded from applying for a CfD through the generic Allocation Process. **All Applicants shall be asked to declare the following declarations:**

### Excluded:

---

CCS and Nuclear

---

=<5MW if Anaerobic Digestion or Remote Island Wind

---

Non GB

---

Not to be in receipt of another subsidy i.e.

- Non Fossil Fuels Obligation (NFFO) published by the Non-Fossil Purchasing Agency (“**NFPA**”)
- Scottish Renewables Obligation (SRO) published by the Non-Fossil Purchasing Agency (“**NFPA**”)
- Capacity Market (CM)
- Already in receipt of a CfD

---

Not to be in receipt of Renewables Obligation (RO) subsidy **unless:**

- **RO accredited registering additional capacity >=5MW**
- **RO accredited registering subsequent offshore phases**
- **Biomass co-firer station can switch generating unit(s) from RO to CfD as Biomass Conversions**

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 3.1.4 Cross-Subsidy Declarations (2/2)



### Applicants

Declarations that CfD Unit not in receipt of subsidies for CM, CfD, NFFO or RHI (if Energy from Waste with CHP)

Declaration that CfD Unit not in receipt /in receipt\* of subsidies for RO (\*If in receipt, confirm type of dual scheme plant)

nationalgridESO

Check using locational parameters against public data whether CfD Unit already in CM, CfD or NFFO  
Ofgem confirm status in RO – if Ofgem are unsure then DB rely on declaration

Rely on declaration for RHI

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

# 3.1.5 Evidence of Incorporation

## Applicants

If a corporate entity, provide a Certificate of Incorporation (UK or non UK)

If tax registered, provide a Tax Certificate (VAT if UK registered)

If an Unincorporated Joint Venture (UJV), provide a PDF showing parties within the UJV



## nationalgridESO

Company Registration Number on Application Form = Company Registration Number on Certificate of Incorporation

Tax Registration Number on Application Form = Tax Registration Number on Tax Certificate

No specific checks – we would open document to ensure it is not corrupted



## 3.1.6 Target Commissioning Date

### Applicants

Provide Target Commissioning Date and Target Commissioning Window Start Date\* as part of CfD Application

*\*Target Commissioning Window End Date is auto-populated*

nationalgridESO

System prevents Applicant from submitting an Application where Target Commissioning Date is outside Target Commissioning Window

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 3.1.7 Crown Estate Leases



### Applicants

For your CfD Unit to provide a copy of your Crown Estate Agreement for Lease (this needs to cover both the OFTO and the generating unit)  
*Offshore Wind only*

nationalgridESO

No specific checks – we would open document to ensure it is not corrupted

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

# 3.1.8 Offshore Capacity Requirements

Phased Offshore Wind projects have to also comply with supplemental requirements to be considered Qualifying Applications. These requirements shall be set out in the Round specific Allocation Framework but in summary are:

## Applicants

Provide Provisional Capacity Estimate for each phase (up to 3) as part of CfD Application

## nationalgridESO

Provisional Estimate Capacity for Phase 1 must be  $\geq 25\%$  of total capacity of all phases  
 Total capacity of all phases must be  $\leq 1500\text{MW}$   
 System prevents Applicant from submitting an Application where above criteria not met.

Note: Offshore Wind is the only technology that can have more than one phase



## 3.2 Qualification Decision

### 1 Window closes

The Delivery Body will assess each CfD Application that has been submitted within the CfD Application window to determine Qualification based on the information and evidence provided through the Portal against the Qualification criteria set by BEIS (taking into account any contradictory evidence that may be presented to the Delivery Body as specified in the Round specific Allocation Framework)

This assessment will be conducted over a fifteen Working Day period

### 2 Results

Results of the assessment will be announced via email notification by the end of the fifteen Working Day assessment period

You will be able to access your letter on the portal to view your Qualification status i.e. **Qualifying Applicant** or a **Non-Qualifying Applicant**

### 3 Raising a Review

Where you are considered a **Non-Qualifying Applicant**, we will provide reasons for the determination in writing

You will then have the opportunity to raise a **Non-Qualification Review** to the Delivery Body (see [Section 4. Reviews](#)), however no new evidence can be submitted in this Review in accordance with Contracts for Difference (Allocation) Regulation 2014 (20(2)(c))

The results of the assessment will not be published in order to protect Applicant confidentiality

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

# 4. Reviews

Non-Qualifying Applicants may give notice ('Review Notice') to the Delivery Body to request a Review of their Non-Qualification Determination where the Applicant believes that the Delivery Body has incorrectly applied the Rules. Select from the buttons opposite to find out more:

**4.1 Review process overview**

**4.2 Review requirements**

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

# 4.1 Review process overview

- 
- Submit Review Notice within 5 Working Days
- 
- 1 Applicant** Cover only those areas subject to Non-Qualification Determination
- 
- No new evidence can be submitted but original evidence can be clarified
- 
- 2 Delivery Body** 10 Working Days from date of Review Notice to reply to Applicant
- 
- Notify all Stakeholders that Review process is in progress
- 
- 3 Outcome** Non-Qualification Review Notice sent to Applicant which overturns or upholds original decision and provides reasons for the Determination
- 
- Applicants that are still not Qualified after this process, can raise a Qualification Appeal to Ofgem. See the next section for guidance on how to raise a Qualification Appeal ([5. Appeals](#))
- 

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 4.2 Review requirements

### Review requirements (as set out in Allocation Regulation 20)

---

- Concise statement identifying dispute

---

- No new documentary evidence but can clarify original evidence

---

- Schedule listing the documents submitted

---

- Succinct presentation of arguments

---

- Summary of grounds for dispute

---

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

# 5. Appeals

If an Application is still Non-Qualifying after Review, Applicants are able to raise an Appeal to Ofgem. The Applicant must also notify the Delivery Body of their Appeal.

Select from the buttons opposite to find out more:

**5.1 Appeals process**

**5.2 Pending (Allocation during Appeals)**

**ofgem**



**Appeals guidance**

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

# 5.1 Appeals process

Appeal to Authority	Applicant	Submission of Appeal to Ofgem and a copy to the Delivery Body
		5 Working Days to submit an appeal to Ofgem
	Ofgem	No set timescale to determine Qualification
		Allocation may proceed if overrunning (see <a href="#">5.2 Pending</a> )
	Delivery Body	Delivery Body view on Applicants Appeal (sent to Ofgem)
		Notification to Stakeholders that there are Appeals in progress
		Delivery Body updates Appeals Register once CfD Notifications have been issued
	Outcome	Ofgem can overturn or uphold original decision and provide reasons for the Determination
Ofgem to advise Applicant and Delivery Body stating Determination and date of Determination		
Appeal to High Court	Applicant	28 calendar days to appeal to <b>High Court</b> or Court of Session in Scotland, where Ofgem upholds the Delivery Body's decision
	High Court	<b>High Court</b> could ask Ofgem to reconsider their decision or direct Delivery Body to reinstate as a Qualifying Applicant



## 5.2 Pending (Allocation during Appeals)

Where Ofgem has not notified the Delivery Body on the outcome of a Qualification Appeal, prior to the start of the Allocation Process, that Application shall be considered a Pending Application.

Where specific notices are sent to Qualified Applicants (e.g. Auction Notice requiring Sealed Bids), where relevant, Pending Applications will be sent the same notices with similar requests and response dates.

Applicants undergoing Appeals (Pending Applications) shall be permitted to submit Sealed Bids alongside other Qualified Applicants (see [Section 7. Sealed Bids](#)).

The Allocation Framework sets out a number of scenarios that govern how Pending Applications which become Qualified Applications must be considered for a CfD. See the Round specific Allocation Framework for further details.



# 6. Valuation

During application valuation, the Delivery Body shall compare the aggregate value of all qualifying applications for each Delivery Year against the pot budget and shall also assess total capacity against the capacity cap, to determine if there is a requirement for a competitive allocation process (auction).

This section also covers the auction process and general principles

Select from the buttons opposite to find out more:

**6.1 Valuation and allocation overview**

**6.4 Allocation scenarios**

**6.2 Valuation formula**

**6.5 Auction – process overview**

**6.3 Valuation - process overview**

**6.6 Auction – general principles**

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 6.1 Valuation and allocation overview

**Valuation** – the delivery body values all applications to determine whether allocation is constrained or unconstrained. If constrained an auction is run.

**Auction** – following the sealed bids submission window, the Delivery Body shall run a closed auction using the sealed bids that have been submitted.

**Independent Audit** – an independent auditor carries out an audit of the auction and valuation processes to verify results.

**Secretary of State review** – auction results are provided to the Secretary of State who undertakes an Allocation Process Review, deciding on whether to Proceed, Re-run or Cancel the Allocation Process.

**Notification** – Applicants are notified as to whether they were successful or unsuccessful at auction. The Delivery Body also provides notification of successful qualifying applicants only to the Low Carbon Contracts Company.

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

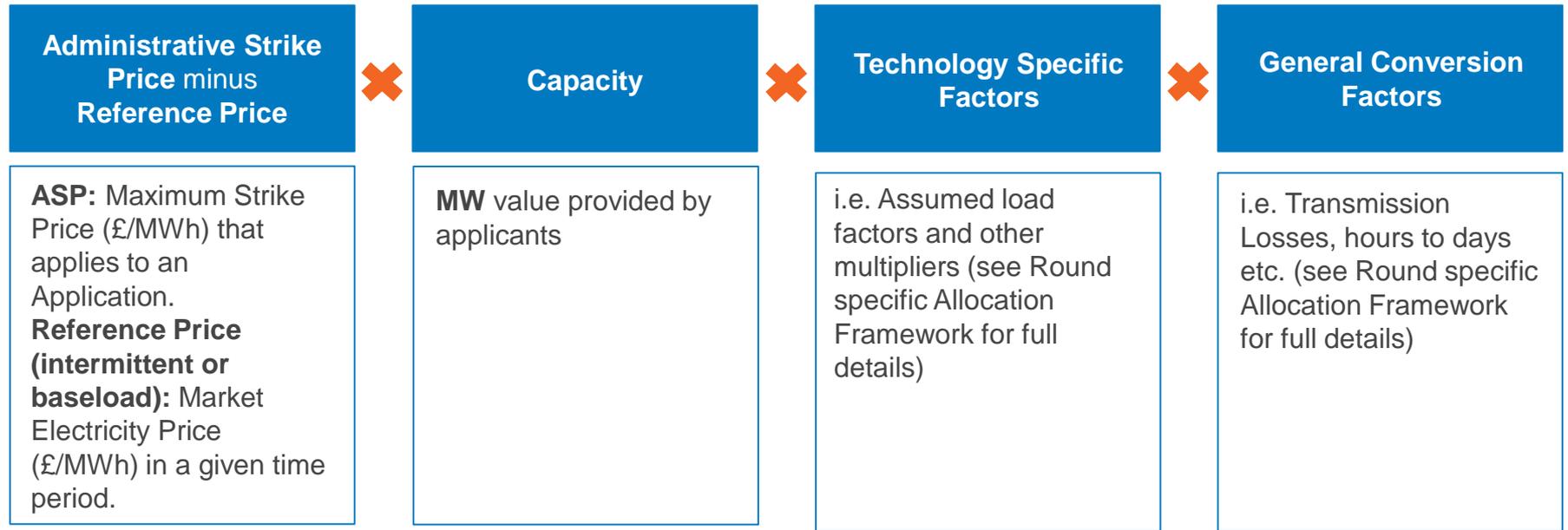
7. Sealed Bids

8. Allocation

9. Notification

# 6.2 Valuation formula

The Delivery Body uses a **Valuation Formula** to calculate the budget Impact of each qualifying application. The variables used in this formula are published in the Round specific Allocation Framework and the same calculation is used for sealed bids should an auction be required (using the Strike Price bid instead of the Administrative Strike Price).



## 6.3 Valuation - process overview

**Valuation calculation** - Following the assessment, data (capacity/TCD/TCW) is taken from each individual qualifying application and the valuation formula is applied to determine the budget impact of those applications.

**Budget and capacity impact** - The Delivery Body determines whether or not the valuation of all of the qualified applications exceeds the overall pot budget. The total capacity for all qualifying applications is also used to determine whether the capacity limit for the round has been exceeded.

**Unconstrained allocation** - If both the budget and capacity cap are not exceeded, each qualifying application shall be offered a CfD at their technology specific Administrative Strike Price, this is known as unconstrained allocation.

**Constrained allocation** - If the budget and/or capacity limit is exceeded, then the Delivery Body shall run an auction between all qualifying applicants, this is known as constrained allocation.

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

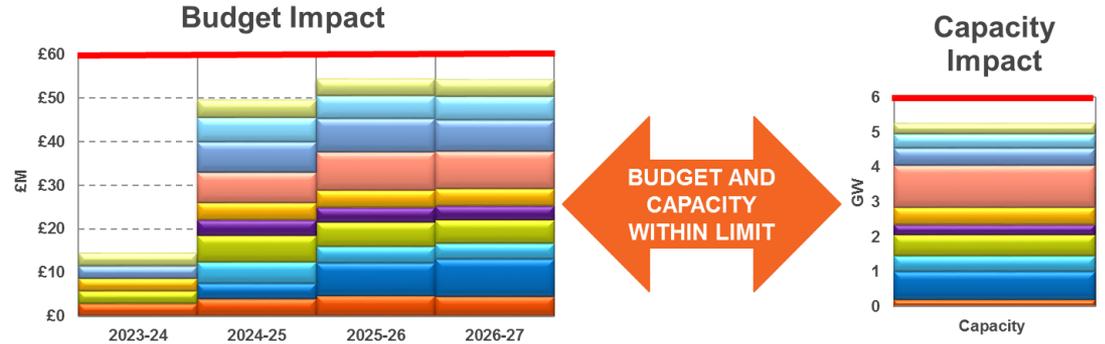
8. Allocation

9. Notification

# 6.4 Allocation scenarios

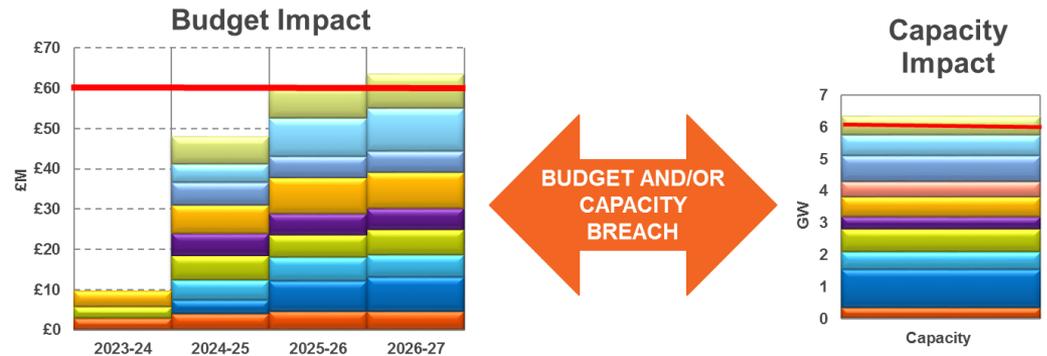
## Unconstrained Allocation

Opposite is an example of unconstrained allocation where all qualifying applications fit within both the budget and capacity limits. This would result in no auction and all applications shall be awarded a CfD.



## Constrained Allocation

Opposite is an example of constrained allocation, where the Delivery Body determines that qualified applications exceed the pot value and/or the overall capacity limit. This scenario would trigger the requirement to hold an auction to competitively allocate CfDs.



## 6.5 Allocation - auction process overview

**Auction requirement** — the Delivery Body runs the application valuation process and reviews total capacity to determine constrained allocation.

**Sealed bid submission window** — qualifying applicants are invited to submit sealed bids via the EMR Portal within a 5 working day window.

**Auction assessment** — the Delivery Body uses all submitted sealed bids to run the competitive allocation process, bids compete on strike price.

**Auction clearing** — successful applications are paid a pot clearing price set by the most expensive successful bid in each delivery year (subject to a cap of any technology specific Administrative Strike Price).

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

# 6.6 Auction – general principles

An auction is **run using sealed bids** from applicants who have successfully qualified (maximum of 4 sealed bids).

Sealed bids are **submitted via the EMR Portal** and are assessed from **lowest to highest Strike Price**.

All application sealed bids **compete on a Strike Price** basis and only one bid from each application can be successful.

Sealed bids **tied on the same Strike Price** are handled by tiebreaker rules set out in the Allocation Framework.

Auction is **pay as clear**, successful bids are paid a clearing price, set by the most expensive successful bid in each Delivery Year (capped at ASP).

**Interleaving** rules are used to ensure best use of the overall budget, allowing flexible bids to be considered where an original bid was rejected.

## Delivery year and auction closure

During the auction, if a bid exceeds (breaches) either the budget or capacity limits, the interleaving rule comes into effect. Here, the system shall look for a flexible bid from the same applicant and shall attempt to allocate this bid along with any other bids that are between this and the bid that originally caused the breach. If all of the bids can be allocated interleaving is a success and the auction continues. If any of the bids in the interleaving process cannot be allocated then either the Delivery Year of the original bid or the auction shall close. See the table opposite for specific examples.

		Original Bid		
		Budget Breach	Capacity Breach	Budget & Capacity Breach
Flexible Bid or Interleaving Bid	Budget Breach	Delivery Year Closed	Auction Closed	Auction Closed
	Capacity Breach	Delivery Year Closed	Auction Closed	Auction Closed
	Budget & Capacity Breach	Delivery Year Closed	Auction Closed	Auction Closed



# 7. Sealed bids

Where an auction (constrained allocation) is necessary ([see 6. Valuation](#)), the Delivery Body, in accordance with the Round specific Allocation Framework, shall issue a Notice of Auction to all relevant qualifying and pending applicants, inviting the submission of sealed bids.

**Applicants shall have 5 Working Days to submit their sealed bids from Notice of Auction.**

Select from the buttons opposite to find out more:

7.1 Sealed bids - overview

7.3 Creating sealed bids

7.2(a) Sealed bid validation Rules

7.4 Sealed bid validation (System view)

7.2(b) Phased offshore wind validation rules

7.5 Withdrawing from the CfD Auction

7.2(c) Sealed bid validation examples

Auction Scenarios

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

# 7.1 Sealed bids – overview

## Who can submit sealed bids?

Where an Auction is necessary, the Delivery Body, in accordance with the Round specific Allocation Framework, will issue a Notice of Auction to all **qualifying and pending applicants** of a constrained pot, inviting them to submit Sealed Bids.

## When can sealed bids be submitted?

Sealed bids must be submitted during a **five working day** sealed bids submission window (details of which shall be set out in the Notice of Auction). Once the window has closed the Delivery Body shall not be able to accept any further bids.

## How are sealed bids submitted?

Sealed bids are submitted using the **EMR Delivery Body Portal**, future guidance releases shall explain how this process works in practice. **Withdrawal of sealed bids** is also managed through the EMR Delivery Body Portal. Applicants can withdraw bids and resubmit during and up until the end of the sealed bids submission window.

## What changes can be made to sealed bids?

Applicants can vary the **capacity**, **target commissioning date (TCD)**, **target commissioning window (TCW) start date** and **strike price** of each sealed bid. Sealed bid validation rules are outlined in the [next section](#).

## Consequences of not submitting or withdrawing sealed bids

Where no sealed bid is submitted or if all sealed bids are withdrawn and not re-submitted by an applicant on or before the submission window closing date, the Delivery Body will assign the applicant a **single bid** with the same Administrative Strike Price, Target Commissioning Date and Capacity of their original application.



# 7.2(a) Sealed bid validation rules

All sealed bids must be submitted using the EMR Delivery Body portal. The following criteria apply to sealed bids:

## General

Applicants can submit a maximum of four sealed bids and up to two bids for the same Delivery Year;

Applicants may only submit a maximum of two sealed bids where the TCD of the original application was in the second delivery year;

Applicants may withdraw and re-submit sealed bids during the five working day sealed bids Submission Window;

Only one sealed bid can have the same Target Commissioning Date and Capacity as specified in the Original Application.

Each sealed bid must have a different combination of Strike Price, Capacity, and TCD;

Applicants who do not submit any sealed bids will be assigned a single bid using the ASP, the TCD, first date of TCW and the Capacity of their original Application.

## Strike Price

Strike Price in each sealed bid must be in pounds sterling (in 2012 prices);

The lowest Strike Price bid in each Delivery Year must be expressed to the nearest whole penny (i.e. £0.01), all other bids (in each Delivery Year) must be to a tenth of a penny (i.e. £0.001);

The Strike Price of each sealed bid cannot exceed the relevant Administrative Strike Price (ASP).

## Capacity

The Capacity for each sealed bid cannot exceed the Capacity of the original application;

Where the technology type of any given CfD Unit must have a capacity greater than 5MW (e.g. each phase of offshore wind, anaerobic digestion, remote island wind), sealed bids cannot be submitted below this threshold.

## TCD and TCW

The TCD of each sealed bid cannot be earlier than the TCD from the original Application;

The TCD for each sealed bid must fall within the TCW;

Each TCD must fall within one of the relevant Delivery Years (maximum of two TCDs per Delivery Year);

The TCW for each sealed bid is set at one year.

Year 1 factor shall always be '1' in the final valuation year (VY2 – 2026/27) regardless of where the TCD or TCW is placed in any sealed bid.

## Successful bids

Only one sealed bid per application may be a successful application. Contracts are awarded based on the information contained within the winning bid such as TCD, TCW and Capacity.

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

# 7.2(b) Phased offshore wind validation rules

The following additional criteria applies to sealed bids for phased offshore wind projects (multi-phase):

## General

All phases are taken into account when assessing budget impact against the budget profile;

The number of phases submitted for each sealed bid must match the number of phases specified in the original application;

## Strike Price

Sealed bids must include a single Strike Price that shall apply to all phases;

The Administrative Strike Price cap for the Strike Price for each sealed bid applies from the TCD of the first phase;

## TCD and TCW

TCD of the first phase is treated as the first TCD – each additional phase TCD can not be earlier than this date;

TCD of the final phase can be no later than 2 years after the TCD of the first phase.

The TCD for each phase must be covered by the TCW for that phase;

TCD for phases 2 and 3 can be placed in either the Delivery or Valuation years, latest date 31 March 2027.

The first phase TCD must be targeted to complete by no later than 31 March 2025;

No phase of a phased project can be earlier than the TCD of the first phase, as stated in the original application.

## Capacity

Total Capacity of all phases in each sealed bid cannot be greater than 1500 MW;

Total Capacity of all phases in each sealed bid cannot exceed the total Capacity stated in the original application;

For each sealed bid, the Capacity of phase 1 cannot be greater than the Capacity of phase 1 of the original application;

The Capacity of the first phase must represent at least 25% of the total Capacity of the CfD Unit;

The Capacity of each phase must be greater than 5MW.



# 7.2(c) Example bids (validation - general)

Below are examples of sealed bids that would be either accepted or rejected:

## Original application (Application 'A')

Technology: AD  
 Admin Strike Price: £122.00  
 TCD: 31/03/2024 (DY1 2023-24)  
 Capacity: 50MW

## Sealed bid 1 – Bid Accepted

### Bid A1

Bid Strike Price: £90.42  
 TCD: 31/03/2024 (DY1 2023-24)  
 Capacity: 50MW

## Sealed bid 2 – Bid Accepted

### Bid A2

Bid Strike Price: £92.121  
 TCD: 31/03/2025 (DY2 2024-25)  
 Capacity: 25MW

## Sealed bid 3 – Bid Rejected

### Bid A3

Bid Strike Price: £200.001  
 TCD: 01/04/2023 (DY1 2023-24)  
 Capacity: 2MW

Strike Price is greater than the ASP for DY1 (£122.00 for AD)

TCD is earlier than the TCD of the original application.

Capacity is less than 50MW (must be greater than 50MW for AD)

## Sealed bid 4 – Bid Rejected

### Bid A4

Bid Strike Price: £122.00  
 TCD: 01/04/2024 (D2 2024-25)  
 Capacity: 55MW

Strike Price is greater than the ASP for DY2 (£121.00 for AD)

Capacity is greater than that of the original application (50MW)



# 7.2(c) Example bids (validation - offshore)

Below are examples of sealed bids for an offshore wind applicant, showing the original application and accepted/rejected bids:

## Original application (Application 'A')

<p><b>A – Phase 1</b> Admin Strike Price: £56.00 TCD: 01/04/2023 (DY1 2023-24) Capacity: 500MW</p>	<p><b>A – Phase 2</b> Admin Strike Price: £56.00 TCD: 01/04/2024 (DY2 2024-25) Capacity: 500MW</p>	<p><b>A – Phase 3</b> Admin Strike Price: £56.00 TCD: 01/07/2024 (DY2 2024-25) Capacity: 500MW</p>
--	--	--

## Sealed bid 1 – Bid Accepted

<p><b>Bid A1 – Phase 1</b> Bid Strike Price: £40.42 TCD: 01/10/2023 (DY1 2023-24) Capacity: 200MW</p>	<p><b>Bid A1 – Phase 2</b> Bid Strike Price: £40.42 TCD: 29/03/2024 (DY1 2023-24) Capacity: 600MW</p>	<p><b>Bid A1 – Phase 3</b> Bid Strike Price: £40.42 TCD: 01/08/2025 (VY1 2025-26) 200MW</p>
---	---	---

## Sealed bid 2 – Bid Rejected

<p><b>Bid A2 – Phase 1</b> Bid Strike Price: £55.00 TCD: 01/04/2024 (DY2 2024-25) Capacity: 2MW</p>	<p><b>Bid A2 – Phase 2</b> Bid Strike Price: £55.00 TCD: 01/10/2024 (DY2 2024-25) Capacity: 2000MW</p>	<p><b>Bid A2 – Phase 3</b> Bid Strike Price: £55.00 TCD: 31/03/2027 (VY2 2026-27) 10MW</p>
---	--	--

Strike Price is greater than the DY2 ASP (£53.00)

TCD of final phase is more than 2 years after the TCD of phase 1

Total capacity of all phases exceeds that of the original application.

Capacity is less than 5MW

Capacity exceeds 1500MW limit

## 7.3 Creating sealed bids (1 of 5)

Qualifying Applicants shall be notified as to when the sealed bid submission window is open, the window shall be open for a period of five working days after which no more bids can be accepted.

This section explains how to create and submit sealed bids within the EMR Delivery Body Portal once the submission window is open.

Section 7.2 of this guidance document covers sealed bid validation rules for both general bids and multi-phased projects.

Sealed bids are used in the auction which is run by the Delivery Body, the results of which are independently audited.

Applicants do not directly participate in the auction, once the sealed bids have been submitted they shall be notified of the results once the process has concluded.

All sealed bids are submitted on a sealed bid submission form which is created in the EMR Delivery Body Portal.

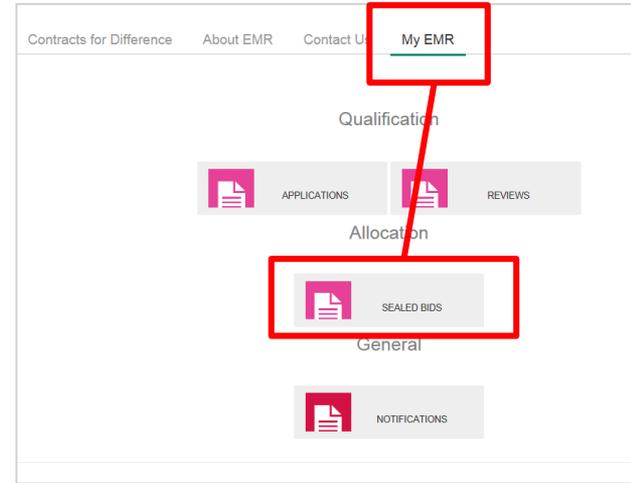
The following section covers the practical requirements for creating, submitting and withdrawing sealed bids.



# 7.3 Creating sealed bids (2 of 5)

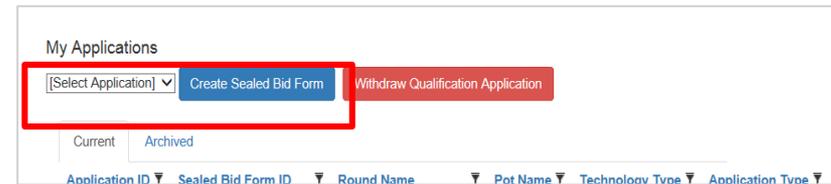
## 1) Accessing the sealed bids form

- Log in to the **EMR Delivery Body Portal** and navigate to **My EMR**.
- Select the **sealed bids** icon.



## 2) Creating a sealed bids form

- Select your **CfD Application** from the drop down
- Click on **Create sealed bid Form**



# 7.3 Creating sealed bids (3 of 5)

### 3) Editing the sealed bid form

- Select your newly created sealed bid form. You can **View** or **Edit** the form here.
- Click on **Edit**.

Filtered By: Created On Status Last Modified By Last Modified On  
 epmainadmin 07/08/2017 11:03:31 Withdrawn cfd.epmainadmin 07/08/2017 12:15:25 View Edit Withdraw SB  
 epmainadmin 07/08/2017 12:15:25 Withdrawn cfd.epmainadmin 07/08/2017 13:09:08 View Edit Withdraw SB  
 epmainadmin 07/08/2017 13:35:18 Saved View **Edit** Withdraw SB

### 4) Adding a sealed bid

- Scroll down to Flexible Bids
- Click on Add sealed bid

Flexible Bids  
Created on 07/08/2017 13:35:18 by cfd.epmainadmin

Action	Sealed Bid ID	TCW - Start Date	TCW - End Date	TCD	Delivery Year	Administrative Strike Price (£/MW)	Lowest Strike Price Bid for Delivery Year	Lowest Strike Price for Delivery Year (£/MW)	Strike Price Bid (£/MW)	Initial Installed Capacity Estimate (MW)
		Single Phase	Single Phase	Single Phase	Single Phase					Single Phase

No Sealed Bids

**Add Sealed Bid**

## 7.3 Creating sealed bids (4 of 5)

### 5) Editing an individual sealed bid

- Edit the **Strike Price**, **Capacity**, **TCW Start Date** and **TCD** as required by changing values in the boxes;
- Click on **Save**

### 6) Submit the sealed bid form

- Click on **Submit** once you have created all of your sealed bids

Pot Name	Technology Type	Application Type	Name of CfD Unit	TCW - Start Date
Pot 7	Tidal Stream	General	Tidal Unit1	01/04/2021

## 7.3 Creating sealed bids (5 of 5)

### 7) Clearing Validation Errors

- Validation errors occur when sealed bid requirements have not been met.
- To clear Validation errors click on the **pen icon** to edit the sealed bid parameters. Repeat this process for all bids containing errors and re-submit.

#### Validation Errors

- Sealed Bid CFD-2021-CFD\_Sealed\_Bids\_Demo-CFD-2017-07-3492-SB09-01 has a strike price that breaches the DECC limit 0 for that technology type and delivery year based on the capacity for the bid.
  - Sealed Bid CFD-2021-CFD\_Sealed\_Bids\_Demo-CFD-2017-07-3492-SB09-01 has a total capacity of 340.00, the total application capacity of 54.50.
- CFD-2021-CFD\_Sealed\_Bids\_Demo-CFD-2017-07-3492-SB09-01 has the TCD outside the TCW
- CFD-2021-CFD\_Sealed\_Bids\_Demo-CFD-2017-07-3492-SB09-01 has a TCD 08/03/2021 earlier than the TCD 01/04/2021 for the application



### 8) Withdrawing a sealed bids form

- Click on **Withdraw SB** to remove your bids from the process. Note – you will have to create a new sealed bids form once you have withdrawn.
- To complete the process, click on **Withdraw SB** from the sealed bids form. Then click **Back to My Applications** to return to the previous screen.



3492-SB08



# 7.4 Sealed bid validation (system view)

Below are examples of how the EMR Portal system displays sealed bids once they have been saved in the system.

## Strike price and capacity

Opposite is an example of two sealed bids for the same application, both submitted for the same delivery year.

In this example you can see that the system has validated the lowest strike price bid for the Delivery Year by highlighting in green.

The highest strike price bid must be to the nearest tenth of a penny.

Delivery Year	Administrative Strike Price (£/MW)	Lowest Strike Price Bid for Delivery Year	Lowest Strike Price for Delivery Year (£/MW)	Strike Price Bid (£/MW)	Initial Installed Capacity Estimate (MW)
Single Phase					Single Phase
2021/22	115.00	Yes	25.12	25.12	60.50
2021/22	115.00	No	25.12	75.123	40.25

## TCD and TCW –

Opposite is an example of four sealed bids for the same application that have been submitted across two delivery years.

This example shows the validation rules for the TCD and TCW, the TCD for each bid sits within the TCW start and end dates.

The system will automatically determine whether the TCD is in the first or second delivery year.

Sealed Bid ID	TCW - Start Date	TCW - End Date	TCD	Delivery Year
Single Phase	Single Phase	Single Phase	Single Phase	Single Phase
CFD-2021-Sealed Bids-CFD-2017-17-4414-SB01-01	15/06/2021	14/06/2022	22/07/2021	2021/22
CFD-2021-Sealed Bids-CFD-2017-17-4414-SB01-02	15/06/2021	14/06/2022	08/12/2021	2021/22
CFD-2021-Sealed Bids-CFD-2017-17-4414-SB01-03	13/04/2022	12/04/2023	15/05/2022	2022/23
CFD-2021-Sealed Bids-CFD-2017-17-4414-SB01-04	15/06/2022	14/06/2023	22/11/2022	2022/23

**Validation rules** – full validation rules can be found in [section 7.2](#)



# 7.5 Withdrawing from the CfD Process

Qualifying Applicants have the opportunity to withdraw their Application entirely from the CfD process in the sealed bids window without incurring the Non-Delivery Disincentive.

## WARNING

If you withdraw entirely from the CfD process at this stage your Application cannot be recovered.

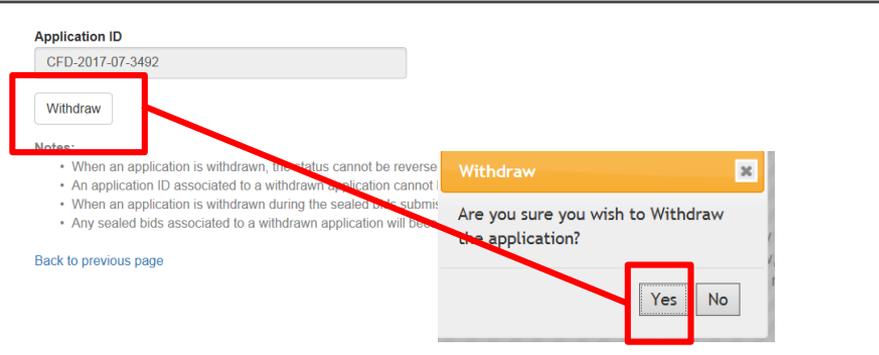
### 1) Select Application to Withdraw

- Select Application and click on Withdraw Qualification Application.



### 2) Confirm Application to Withdraw

- Read the disclaimer and click on **Withdraw**;
- Click on **Yes** to confirm Withdrawal.
- WARNING** – Selecting Yes shall Withdraw the Qualifying Application from the CfD Process including Auction, this final stage is irreversible.



# 8. Allocation

Once the Qualification Process has been completed, the Delivery Body shall proceed with CfD Valuation ([see 6. Valuation](#)) followed by either an auction (Constrained Allocation) ([see 7. Sealed Bids](#)) or if no auction is required, contracts shall be issued based on the details provided within the original application and at the technology specific Administrative Strike Price (Unconstrained Allocation).

Select from the buttons opposite to find out more:

**8.1 Independent Audit**

**8.2 Delays to Allocation**

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

# 8.1 Independent Audit

## Delivery Body Independent Audit Requirements

The CfD Regulations (36) set out the obligations for the Delivery Body to ensure an Independent Audit (Process Audit) of the Valuation and Allocation calculations is carried out. Immediately following the completion of the Allocation Process, the Delivery Body will instruct the Independent Auditor to conduct the Process Audit.

The Independent Auditor will verify if the Delivery Body has appropriately used the Valuation Rules, Allocation Rules and Applicants details to determine the outcome of the Allocation Process. The Independent Auditor will provide a report (the Audit Report) which sets out the Auditors views on whether the Allocation Process was carried out inaccurately including any consequences on Allocation Outcome.

## Secretary of State Allocation Review

The Secretary of State will have two days from receipt of notification from the Delivery Body, to review the Auditors' Report with the Delivery Body's recommendation, to determine if the Allocation Process must proceed to Notification, Re-Run, or be Terminated. The Delivery Body will proceed on its recommendation if the Secretary of State does not respond within two days.

1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

## 8.2 Delays to Allocation

### Impact of Process Delays

Where there is a delay in the Allocation Process such as outlined in the Allocation Regulations, and if a direction has been given by the Secretary of State, the Delivery Body will issue all relevant Applicants with a Variation Notice.

The Variation Notice allows Applicants to adjust the relevant Target Commissioning Date (TCD) of their Application.

The Delivery Body will perform the Application Valuation and Allocation in respect of all Qualifying Applications or Sealed Bids and where a new TCD was submitted, the Delivery Body will consider the new TCD and use the Administrative Strike Price of the relevant Application or Sealed Bids as was submitted prior to the Process Delay.



# 9. Notification

At the end of an Allocation Round, the Delivery Body shall give written notice to each Qualifying Applicant setting out whether or not that Applicant is a Successfully Qualifying Applicant.

Notifications shall be provided to registered Applicants through the Delivery Body Portal.

Select from the buttons opposite to find out more:

**9.1 Notification overview**

**LCCC Contract Support/Guidance**



1. Pre-Application activities

2. Application

3. Qualification

4. Reviews

5. Appeals

6. Valuation

7. Sealed Bids

8. Allocation

9. Notification

# 9.1 Notification overview

Following Allocation, the Delivery Body issues Notification to Applicants. Notification information includes:

## Auction Outcome

- Whether or not the Applicant was successful in securing a CfD

## If a Successfully Qualifying Application, notifications shall include:

- The awarded CfD Strike Price
- Successful Allocation Capacity
- Target Commissioning Date

The Low Carbon Contracts Company (LCCC) will be informed of Successfully Qualifying Applications



# Contact details and feedback



Your feedback is important to us. We are interested in your thoughts regarding this guidance document and how we can meet your customer requirements. So that we can learn more, please remember to fill out the feedback survey.



The Delivery Body's Contracts for Difference Delivery Partners are:



Department for  
Business, Energy  
& Industrial Strategy

ofgem

For support using this guide or for general enquiries please contact:

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